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#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: C12N 15/82, C07K 14/415, C12N 15/11, A01H 5/00

(11) International Publication Number:

WO 00/28058

A<sub>2</sub>

(43) International Publication Date:

18 May 2000 (18.05.00)

(21) International Application Number:

PCT/US99/26514

(22) International Filing Date:

9 November 1999 (09.11.99)

(30) Priority Data:

60/107,643 60/107,810

9 November 1998 (09.11.98) US US

10 November 1998 (10.11.98)

(71) Applicants: PIONEER HI-BRED INTERNATIONAL, INC. [US/US]; 800 Capital Square, 400 Locust Street, Des Moines, IA 50309 (US). E.I. DU PONT DE NEMOURS & CO. [US/US]; 1007 Market Street, Suite 9000 DuPont Building, Wilmington, DE 19898 (US).

- (72) Inventors: LOWE, Keith, S.; 6407 Harbor Oaks Drive, Johnston, IA 50131 (US). GORDON-KAMM, William, J.; 3916 67th Street, Urbandale, IA 50322 (US). KLEIN, Theodore, M.; 2229 Rosewood Drive, Wilmington, DE 19810 (US). RASCO-GAUNT, Sonriza; 29 Canonsfield Road, Oaklands, Welwyn, Hertfordshire AL6 0PY (GB). CAHOON, Rebecca, E.; 2331 West 18th Street, Wilmington, DE 19806 (US). SUN, Xifan; 4609 66th Street, Urbandale, IA 50322 (US). HOERSTER, George, J.; 2623 Payne Road, Des Moines, IA 50310 (US). GREGORY, Carolyn, Ann; 1411 N.W. 137th Court, Clive, IA 50325 (US). NADIMPALLI. Ramgopal; Building 8, Unit 124, 364 Hoover Avenue, Bloomfield, NJ 07003 (US).
- (74) Agents: MICHEL, Marianne, H. et al.: 7100 N.W. 62nd Avenue, Darwin Building, Johnston, IA 50131-1000 (US).
- (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### **Published**

Without international search report and to be republished upon receipt of that report.

(54) Title: TRANSCRIPTIONAL ACTIVATOR NUCLEIC ACIDS, POLYPEPTIDES AND METHODS OF USE THEREOF

REQDQYMPIANVIRIMRKTLPSHAKISDDAKETIQECVSEYISFVTGEANERCOREGRKTITAEDILVAMSKLGFDNYVDPLTVFIMRYR REQDQYMPIANVIRIMRRILPAHAKISDDAKETIQECVSEYISFITAEANERCOREGRKTVTAEDVLWAMEKLGFDNYAHPLSLYIMRYR REQDRFMPIANVIRIMRKILPHAKISDDAKETIQECVSEYISFITGEANERCOREGRKTVTAEDVLWAMSKLGFDDYIEPLTMYLHRYR REQDRFMPIANVIRIMRKILPHAKISDDAKETIQECVSEYISFITGEANERCOREGRKTITAEDVLWAMSKLGFDDYIEPLTMYLHRYR REQDRLMPIANVIRIMRKVLPHAKISDDAKETIQECVSEYISFITGEANERCOREGRKTITAEDVLWAMSRLGFDDYVEPLGAYLHRYR REQDRYMPIANVIRIMRKVLPTHAKISDDAKETIQECVSEYISFITSEANDRCOREGRKTITAEDVLWAMSKLGXDEYIEPLTLYLQRYR REQDRFMPIANVIRIMRKILPHAKISDDAKETIQECVSEYISFITSEANDRCOREGRKTITAEDVLWAMSKLGFDDYIEPLTVYLHRYR REQDRFMPIANVIRIMRKILPHAKISDDAKETIQECVSEFISFVTGEANERCRROHRKTVAEDIVWAMSKLGFDDYVPLSVLREQDDYWPLSVLREQDDYWPLSVLGFDDYWPLSVLREQDDYWPLSVLREQDRYMPIANVIRIMRRALPAHAKISDDAKEAIQECVSEFISFVTGEANERCRHQHRKTVATEDIVWAMSRLGFDDYWPLGAFLQRMR REQDRLMPVANVSRIMRQVLPPYAKISDDAXEVIQELLFGISSLSVRGETKRCHTERRKTVATEDIVWAMSRLGFDDYWPLGAFLQRMR REQDRLMPVANVSRIMRQVLPPYAKISDDAXEVIQELLFGISSLSVRGETKRCHTERRTVATEDIVWAMSRLGFDDYWPLGAFLQRMR ARABIDDPSIS Soybean SOYBEAN SOYBEAN MAIZE A. MEXICANA VERNONIA WHEAT MAIZE ARGKTVTSEDIVVAMSRLGF DDYVAPLGAFLQRNR

(57) Abstract

The invention provides isolated nucleic acids and their encoded proteins which act as transcriptional activators and methods of use thereof. The invention further provides expression cassettes, transformed host cells, transgenic plants and plant parts, and antibody compositions.

> Garnaat, et al. S/N 09/905.558

REF **A27** 

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# TRANSCRIPTIONAL ACTIVATOR NUCLEIC ACIDS, POLYPEPTIDES AND METHODS OF USE THEREOF

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#### TECHNICAL FIELD

The present invention relates generally to plant molecular biology. More specifically, it relates to nucleic acids and methods for modulating their expression in plants.

#### **BACKGROUND OF THE INVENTION**

Major advances in plant transformation have occurred over the last few years. However, in major crop plants, such as maize and soybeans, serious genotype limitations still exist. Transformation of agronomically important maize inbred lines continues to be both difficult and time consuming. Traditionally, the only way to elicit a culture response has been by optimizing medium components and/or explant material and source. This has led to success in some genotypes, but most elite hybrids fail to produce a favorable culture response. While, transformation of model genotypes is efficient, the process of introgressing transgenes into production inbreds is laborious, expensive and time consuming. It would save considerable time and money if genes could be introduced into and evaluated directly in commercial hybrids.

Current methods for genetic engineering in maize require a specific cell type as the recipient of new DNA. These cells are found in relatively undifferentiated, rapidly growing callus cells or on the scutellar surface of the immature embryo (which gives rise to callus). Irrespective of the delivery method currently used, DNA is introduced into literally thousands of cells, yet transformants are recovered at frequencies of 10<sup>-5</sup> relative to transiently-expressing cells. Exacerbating this problem, the trauma that accompanies DNA introduction directs recipient cells into cell cycle arrest and accumulating evidence suggests that many of these cells are directed into apoptosis or programmed cell death. (Reference Bowen et al, Third International Congress of the International Society for Plant Molecular Biology, 1991, Abstract 1093). Therefore it would be desirable to provide improved methods capable of incr asing transformation efficiency in a number of cell types.

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Typically a selectable marker is used to recover transformed cells.

Traditional selection schemes expose all cells to a phytotoxic agent and rely on the introduction of a resistance gene to recover transformants. Unfortunately, the presence of dying cells may reduce the efficiency of stable transformation. It would therefore be useful to provide a positive selection system for recovering transformants.

In spite of increases in yield and harvested area worldwide, it is predicted that over the next ten years, meeting the demand for corn will require an additional 20% increase over current production (Dowswell, C.R., Paliwal, R.L., Cantrell, R.P., 1996, Maize in the Third World, Westview Press, Boulder, CO).

In hybrid crops, including grains, oil seeds, forages, fruits and vegetables, there are problems associated with the development and production of hybrid seeds. The process of cross-pollination of plants is laborious and expensive. In the cross-pollination process, the female plant must be prevented from being fertilized by its own pollen. Many methods have been developed over the years, such as detasseling in the case of corn, developing and maintaining male sterile lines, and developing plants that are incompatible with their own pollen, to name a few. Since hybrids do not breed true, the process must be repeated for the production of every hybrid seed lot.

To further complicate the process, inbred lines are crossed. For example in the case of corn, the inbreds can be low yielding. This provides a major challenge in the production of hybrid seed corn. In fact, certain hybrids cannot be commercialized at all due to the performance of the inbred lines. The production of hybrid seeds is consequently expensive, time consuming and provides known and unknown risks. It would therefore be valuable to develop new methods which contribute to the increase of production efficiency of hybrid seed.

As new traits are added to commercial crops by means of genetic engineering, problems arise in "stacking" traits. In order to develop heritable stacked traits, the traits must be linked because of segregating populations. Improved methods for developing hybrid seed which would not require linking of the traits would significantly shorten the time for developing commercial hybrid seeds.

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Gene silencing is another problem in developing heritable traits with genetic engineering. Frequently gene silencing is seen following meiotic divisions. Elimination or reduction of this problem would advance the state of science and industry in this area.

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#### **SUMMARY OF THE INVENTION**

It is the object of the present invention to provide nucleic acids and polypeptides relating to embryogenesis.

It is another object of the present invention to provide nucleic acids and polypeptides that can be used to identify interacting proteins involved in transcription regulation in embryogenesis.

It is another object of the present invention to provide antigenic fragments of the polypeptides of the present invention.

It is another object of the present invention to provide transgenic plants and plant parts containing the nucleic acids of the present invention.

It is another object of the present invention to provide methods for modulating, in a transgenic plant, the expression of the nucleic acids of the present invention.

It is another object of the present invention to provide a method for improving transformation frequencies.

It is another object of the present invention to provide a method for improving transformation efficiency in cells from various sources.

It is another object of the present invention to provide a method for a positive selection system.

It is another object of the present invention to provide a method for efficiently producing hybrid seed via apomixis.

It is another object of the present invention to provide a method for stacking traits which does not require linking of traits.

It is another object of the present invention to provide a method for reducing the problem of gene silencing.

The pres nt invention r lates to a HAP3-typ CCAAT-box binding transcriptional activator polynucleotides and polypeptides, and in particular, the leafy cotyledon 1 transcriptional activator (LEC1) polynucleotides and

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polypeptides. In other aspects the present invention relates to expression cassettes optionally linked in antisense orientation, host cells transfected with at least one expression cassette, and transgenic plants and seeds comprising the expression cassettes. Further aspects of the invention include methods of using the polynucleotides and polypeptides. In a further aspect, the present invention relates to a method of modulating expression of the polynucleotides encoding the polypeptides of the present invention in a plant. Expression of the polynucleotides encoding the proteins of the present invention can be increased or decreased relative to a non-transformed control plant.

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## BRIEF DESCRIPTION OF THE DRAWING

Figure 1 depicts the comparison of various sequences and the alignment of the conserved regions.

## **DETAILED DESCRIPTION OF THE INVENTION**

#### **DEFINITIONS**

The term "isolated" refers to material, such as a nucleic acid or a protein, which is: (1) substantially or essentially free from components which normally accompany or interact with the material as found in its naturally occurring environment or (2) if the material is in its natural environment, the material has been altered by deliberate human intervention to a composition and/or placed at a locus in the cell other than the locus native to the material.

As used herein, "nucleic acid" means a polynucleotide and includes single or double-stranded polymer of deoxyribonucleotide or ribonucleotide bases.

Nucleic acids may also include modified nucleotides that permit correct read through by a polymerase and do not alter the expression of a polypeptide encoded by the polynucleotide.

As used herein, "LEC1 nucleic acid" means a nucleic acid or polynucleotide that codes for a LEC1 polypeptide.

As used herein, "polypeptide" means proteins, protein fragments, modified proteins, amino acid sequences and synthetic amino acid sequences. The polypeptide can be glycosylated or not.

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As used herein, "LEC1 polypeptide" means a HAP3 family member, CCAAT-box binding transcriptional activator polypeptide that regulates gene expression during mbryo development, and that contains the conserved sequence set out in SEQ ID NO: 23.

As used herein, "plant" includes plants and plant parts including but not limited to plant cells, plant tissue such as leaves, stems, roots, flowers, and seeds.

As used herein, "promoter" includes reference to a region of DNA upstream from the start of transcription and involved in recognition and binding of RNA polymerase and other proteins to initiate transcription.

By "fragment" is intended a portion of the nucleotide sequence or a portion of the amino acid sequence and hence protein encoded thereby. Fragments of a nucleotide sequence may encode protein fragments that retain the biological activity of the native nucleic acid. Alternatively, fragments of a nucleotide sequence that are useful as hybridization probes generally do not encode fragment proteins retaining biological activity. Thus, fragments of a nucleotide sequence are generally greater than 20, 30, 50, 100, 150, 200 or 300 nucleotides and up to the entire nucleotide sequence encoding the proteins of the invention. Generally the probes are less than 1000 nucleotides and preferably less than 500 nucleotides. Fragments of the invention include antisense sequences used to decrease expression of the inventive polynucleotides. Such antisense fragments may vary in length ranging from at least about 20 nucleotides, about 50 nucleotides, about 100 nucleotides, up to and including the entire coding sequence.

By "functional equivalent" as applied to a polynucleotide or a protein is intended a polynucleotide or a protein of sufficient length to modulate the level of LEC1 protein activity in a plant cell. A polynucleotide functional equivalent can be in sense or antisense orientation.

By "variants" is intended substantially similar sequences. Generally,
30 nucleic acid sequence variants of the invention will have at least 60%, 65%, or
70%, preferably 75%, 80% or 90%, more preferably at I ast 95% and most
preferably at I ast 98% sequence identity to the native nucleotide sequence,
wherein the & sequence identity is based on the entire sequence and is

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determined by GAP analysis using Gap Weight of 50 and Length Weight of 3. Generally, polypeptide sequence variants of the invention will have at least about 50%, 55 %, 60%, 65%, 70%, 75% or 80%, preferably at least about 85% or 90%, and more preferably at least about 95% sequence identity to the native protein, wherein the % sequence identity is based on the entire sequence and is determined by GAP analysis using Gap Weight of 12 and Length Weight of 4.

A "responsive plant cell" or "responsive host cell" refers to a cell that exhibits a positive response to the introduction of LEC1 polypeptide or LEC1 polypucleotide compared to a cell that has not been introduced with LEC1 polypeptide or LEC1 polypucleotide. The response can be to enhance tissue culture response, induce somatic embryogenesis, induce apomixis, increase transformation efficiency or increase recovery of regenerated plants.

A "recalcitrant plant cell" is a responsive plant cell that generally does not exhibit a positive response such as tissue culture response, transformation efficiency or recovery of regenerated plants.

## **NUCLEIC ACIDS**

The present invention relates to a HAP3-type CCAAT-box binding transcriptional activators, and in particular, the leafy cotyledon 1 transcriptional activator (LEC1). Expression of the LEC1 polynucleotide initiates formation of embryo-like structures and improves growth and recovery of transformants. The term apomixis is used to describe asexual reproduction that replaces or substitutes sexual methods of reproduction. When apomixis occurs, embryos are produced from maternal tissue and use only the maternal genome.

In particular the present invention relates to an isolated nucleic acid comprising a member selected from the group consisting of:

- (a) a polynucleotide which encodes a polypeptide of SEQ ID NO: 2, 8,10, 12, 14, 16, 18, 20, or 22;
- (b) a polynucleotide amplified from a plant nucleic acid library using the primers of SEQ ID NOS: 3 and 4, 5 and 6, 9 and 10, or 11 and 12 or primers determined by using Vector nti Suite, InforMax Version 5.
- (c) a polynucleotide comprising at least 20 contiguous bases of SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21;

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- (d) a polynucleotide encoding a plant HAP3-type ccaat-box transcriptional activator with the conserved motif of SEQ ID NO: 23, wherein the polynucleotide is from a plant other than *Arabidopsis*;
- (e) a polynucleotide having at least 60% sequence identity to SEQ ID NO: 1, 9, 11, 13, 17, or 21 or 65% sequence identity to SEQ ID NO: 15 or 19 or 70% sequence identity to SEQ ID NO: 7, wherein the % sequence identity is based on the entire sequence and is determined by GAP analysis using Gap Weight of 50 and Length Weight of 3;
- (f) a polynucleotide comprising at least 25 nucleotides in length which hybridizes under high stringency conditions to a polynucleotide having the sequence set forth in SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21;
- (g) a polynucleotide encoding the protein of SEQ ID NO: 2, 8, 10, 12,
   14, 16, 18, 20, or 22, wherein the polynucleotide is from a plant other than *Arabidopsis*;
- (h) a polynucleotide having the sequence set forth in SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21; and
- (i) a polynucleotide complementary to a polynucleotide of (a) through (h).
- In many cases of apomixis maternal tissues such as the nucellus or inner integument "bud off" producing somatic embryos. These embryos then develop normally into seed. Since meiosis and fertilization are circumvented, the plants developing from such seed are genetically identical to the maternal plant. Expression of the leafy cotyledon 1 gene in the nucellus integument, or cell specific expression in the megaspore mother cell would trigger embryo formation from maternal tissues.

Producing a seed identical to the parent has many advantages. For example high yielding hybrids could be used in seed production to multiply identical copies of high yielding hybrid seed. This would greatly reduce seed cost as well as increase the number of genotypes which are commercially available. Gen s can be evaluated directly in commercial hybrids since the progeny would not segregate. This would save years of back crossing.

Apomixis would also provide a method of containment of transgenes when coupled with male sterility. The construction of male sterile autonomous agamospermy would prevent genetically engineered traits from hybridizing with weedy relatives.

Gene stacking would be relatively easy with apomixis. Hybrids could be successively re-transformed with various new traits and propagated via apomixis. The traits would not need to be linked since apomixis avoids the problems associated with segregation.

Apomixis can provide a reduction in gene silencing. Gene silencing is frequently seen following meiotic divisions. Since meiotic divisions never occur, it may be possible to eliminate or reduce the frequency of gene silencing. Apomixis can also be used stabilize desirable phenotypes with complex traits such as hybrid vigor. Such traits could easily be maintained and multiplied indefinitely via apomixis.

The Cauliflower Mosaic Virus 35S promoter has been used to overexpress LEC1 during Agrobacterium-mediated in planta transformation of Arabidopsis (Harada et al., WO 98/37184). As pointed out by Harada et al., 35S is a strong promoter, and in their experiments it was found that 35S:LEC1 did not improve transformation and actually appeared to hinder it (transformation efficiency with 35S:LEC1 was estimated to be 0.6% of that obtained normally). Thus, overexpression in a cell type such as those in the gametophytic stage of development may be inappropriate and detrimental to the transformation process and successful recovery of transformed progeny. In contrast, we have shown that ectopic expression of the LEC1 gene under the appropriate control elements (including tissue specific and/or inducible promoters) and in the appropriate plant cells can be used to stimulate embryo formation in tissues/genotypes normally not amenable to culture. Likewise ectopic expression in genotypes amenable to culture can increase the number of embryo precursor cells (or increase the number that develop into embryos) leading to an increase in transformation frequency. Transient expression using RNA or protein may be sufficient to initiate the cascade of events leading to embryo formation. This would be valuable in such target tissues as maize scutella, immature leaf bases, immature tassels, etc. The LEC1 gene could be used as a positive selectable marker, i.e. triggering

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embryogenesis in transgenic cells without killing the surrounding wild-type cells. This would happen since the cells receiving the introduced gene would undergo embryogenesis or in tissues already undergoing embryogenesis LEC1 expression would stimulate more rapid reiteration of somatic embryos.

It has been shown through sequence similarity that the Arabidopsis LEC1 polypeptide is homologous to the HAP3 subunit of the "CCAAT-box binding factor" class of eukaryotic transcriptional activators (Lotan et al., 1998, Cell 93:1195-1205). This class of proteins, which consist of Hap2/3/4 and 5, form a heteroligomeric transcriptional complex, that appears to activate specific gene sets in eukaryotes. Certain members of this family such as Hap2 and Hap5 appear to be ubiquitously expressed, while different Hap3 members are under developmental or environmental regulation. Plant HAP3 polypeptides can be recognized by a high degree of sequence identity to other HAP3 homologs in the "B domain" of the protein. For example, the B domain for the Arabidopsis LEC1, from amino acid residue 28 to residue 117, shares between 55% and 63% identity (75-85% similarity) to other members of the HAP3 family, including maize (HAP3), chicken, lamprey, Xenopus, human, mouse, Emericella nidulens, Schizosaccharomyces pombe, Saccharomyces cerevisiae and Kluuyveromyces lactis (Lotan et al., 1998).

Expression of the LEC1 gene in transformed cells initiates embryo development and stimulates development of pre-existing embryos. Normally, LEC1 expression is necessary for proper embryo maturation in the latter stages of embryo development, and LEC1 transgene expression thus may also promote these processes. The combined effect of these impacts on somatic embryogenesis is not only to stimulate growth of transformed cells, but also to insure that transformed somatic embryos develop in a normal, viable fashion (increasing the capacity of transformed somatic embryos to germinate vigorously). Continued ectopic overexpression beyond embryo maturation may negatively impact germination and vegetative plant growth (which may necessitate down-regulation of the LEC1 transgene during these stages of development.

Expression of the LEC1 gene will stimulate growth in cells with the potential to initiate or maintain mbryogenic growth. Cells in stablished meristems or m ristem-derive cell lineages may b less prone to undergo the

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transition to embryos. In addition, transformation methods that target certain reproductive tissues (or cells) such as vacuum-infiltration of Agrobacterium into Arabidopsis may have detrimental effects on recovery of transformants (triggering genes associated with embryogenesis may disrupt the proper functioning of these cells).

The polypeptides encoded by the present plant LEC1 genes can be distinguished from non-LEC HAP3 proteins by using the diagnostic motif shown in SEQ ID NO: 23.

The isolated nucleic acids of the present invention can be made using (a) standard recombinant methods, (b) synthetic techniques, or combinations thereof. In some embodiments, the polynucleotides of the present invention will be cloned, amplified, or otherwise constructed from a monocot or dicot. In preferred embodiments the monocot is corn, sorghum, barley, wheat, millet, or rice. Preferred dicots include soybeans, sunflower, canola, alfalfa, potato, or cassava.

Functional fragments included in the invention can be obtained using primers which selectively hybridize under stringent conditions. Primers are generally at least 12 bases in length and can be as high as 200 bases, but will generally be from 15 to 75, preferably from 15 to 50. Functional fragments can be identified using a variety of techniques such as restriction analysis, Southern analysis, primer extension analysis, and DNA sequence analysis.

The present invention includes a plurality of polynucleotides that encode for the identical amino acid sequence. The degeneracy of the genetic code allows for such "silent variations" which can be used, for example, to selectively hybridize and detect allelic variants of polynucleotides of the present invention. Additionally, the present invention includes isolated nucleic acids comprising allelic variants. The term "allele" as used herein refers to a related nucleic acid of the same gene.

Variants of nucleic acids included in the invention can be obtained, for example, by oligonucleotide-directed mutagenesis, linker-scanning mutagenesis, mutagenesis using the polymerase chain reaction, and the like. See, for example, Ausubel, pages 8.0.3 - 8.5.9. Also, see generally, McPherson (ed.), *DIRECTED MUTAGENESIS: A Practical Approach*, (IRL Press, 1991). Thus, the present

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invention also encompasses DNA molecules comprising nucleotide sequences that have substantial sequence similarity with the inventive sequences.

Variants included in the invention may contain individual substitutions, deletions or additions to the nucleic acid or polypeptide sequences which alters, adds or deletes a single amino acid or a small percentage of amino acids in the encoded sequence is a "conservatively modified variant" where the alteration results in the substitution of an amino acid with a chemically similar amino acid. When the nucleic acid is prepared or altered synthetically, advantage can be taken of known codon preferences of the intended host.

The present invention also includes "shufflents" produced by sequence shuffling of the inventive polynucleotides to obtain a desired characteristic. Sequence shuffling is described in PCT publication No. 96/19256. See also, Zhang, J. H., et al., Proc. Natl. Acad. Sci. USA 94:4504-4509 (1997).

The present invention also includes the use of 5' and/or 3' UTR regions for modulation of translation of heterologous coding sequences. Positive sequence motifs include translational initiation consensus sequences (Kozak, *Nucleic Acids Res.*15:8125 (1987)) and the 7-methylguanosine cap structure (Drummond *et al., Nucleic Acids Res.* 13:7375 (1985)). Negative elements include stable intramolecular 5' UTR stem-loop structures (Muesing *et al., Cell* 48:691 (1987)) and AUG sequences or short open reading frames preceded by an appropriate AUG in the 5' UTR (Kozak, *supra, Rao et al., Mol. and Cell. Biol.* 8:284 (1988)).

Further, the polypeptide-encoding segments of the polynucleotides of the present invention can be modified to alter codon usage. Altered codon usage can be employed to alter translational efficiency. Codon usage in the coding regions of the polynucleotides of the present invention can be analyzed statistically using commercially available software packages such as "Codon Preference" available from the University of Wisconsin Genetics Computer Group (see Devereaux et al., Nucleic Acids Res. 12:387-395 (1984)) or MacVector 4.1 (Eastman Kodak Co., New Haven, Conn.).

For example, the inventive nucleic acids can be optimized for enhanced expr ssion in plants of interest. See, for xample, EPA0359472; WO91/16432; Perlak et al. (1991) Proc. Natl. Acad. Sci. USA 88:3324-3328; and Murray et al. (1989) Nucleic Acids Res. 17:477-498. In this manner, the polynucleotides can

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be synthesized utilizing plant-preferred codons. See, for example, Murray et al. (1989) *Nucleic Acids Res.* 17:477-498, the disclosure of which is incorporated herein by reference.

The present invention provides subsequences comprising isolated nucleic acids containing at least 16 contiguous bases of the inventive sequences. For example the isolated nucleic acid includes those comprising at least 16, 20, 25, 30, 40, 50, 60, 75 or 100 contiguous nucleotides of the inventive sequences. Subsequences of the isolated nucleic acid can be used to modulate or detect gene expression by introducing into the subsequences compounds which bind, intercalate, cleave and/or crosslink to nucleic acids.

The nucleic acids of the invention may conveniently comprise a multicloning site comprising one or more endonuclease restriction sites inserted into the nucleic acid to aid in isolation of the polynucleotide. Also, translatable sequences may be inserted to aid in the isolation of the translated polynucleotide of the present invention. For example, a hexa-histidine marker sequence provides a convenient means to purify the proteins of the present invention.

A polynucleotide of the present invention can be attached to a vector, adapter, promoter, transit peptide or linker for cloning and/or expression of a polynucleotide of the present invention. Additional sequences may be added to such cloning and/or expression sequences to optimize their function in cloning and/or expression, to aid in isolation of the polynucleotide, or to improve the introduction of the polynucleotide into a cell. Use of cloning vectors, expression vectors, adapters, and linkers is well known and extensively described in the art. For a description of such nucleic acids see, for example, Stratagene Cloning Systems, Catalogs 1995, 1996, 1997 (La Jolla, CA); and, Amersham Life Sciences, Inc, Catalog '97 (Arlington Heights, IL).

The isolated nucleic acid compositions of this invention, such as RNA, cDNA, genomic DNA, or a hybrid thereof, can be obtained from plant biological sources using any number of cloning methodologies known to those of skill in the art. In some embodiments, oligonucleotide probes which selectively hybridize, under stringent conditions, to the polynucleotides of the present invention are used to identify the desired sequence in a cDNA or genomic DNA library.

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Exemplary total RNA and mRNA isolation protocols are described in *Plant Molecular Biology: A Laboratory Manual*, Clark, Ed., Springer-Verlag, Berlin (1997); and, *Current Protocols in Molecular Biology*, Ausubel, *et al.*, Eds., Greene Publishing and Wiley-Interscience, New York (1995). Total RNA and mRNA isolation kits are commercially available from vendors such as Stratagene (La Jolla, CA), Clonetech (Palo Alto, CA), Pharmacia (Piscataway, NJ), and 5'-3' (Paoli, PA). See also, U.S. Patent Nos. 5,614,391; and, 5,459,253.

Typical cDNA synthesis protocols are well known to the skilled artisan and are described in such standard references as: *Plant Molecular Biology: A Laboratory Manual*, Clark, Ed., Springer-Verlag, Berlin (1997); and, *Current Protocols in Molecular Biology*, Ausubel, *et al.*, Eds., Greene Publishing and Wiley-Interscience, New York (1995). cDNA synthesis kits are available from a variety of commercial vendors such as Stratagene or Pharmacia.

An exemplary method of constructing a greater than 95% pure full-length cDNA library is described by Carninci *et al.*, *Genomics*, 37:327-336 (1996). Other methods for producing full-length libraries are known in the art. See, e.g., Edery *et al.*, *Mol. Cell Biol.*15(6):3363-3371 (1995); and PCT Application WO 96/34981.

It is often convenient to normalize a cDNA library to create a library in which each clone is more equally represented. A number of approaches to normalize cDNA libraries are known in the art. Construction of normalized libraries is described in Ko, *Nucl. Acids. Res.* 18(19):5705-5711 (1990); Patanjali et al., *Proc. Natl. Acad. U.S.A.* 88:1943-1947 (1991); U.S. Patents 5,482,685 and 5,637,685; and Soares et al., *Proc. Natl. Acad. Sci. USA* 91:9228-9232 (1994).

Subtracted cDNA libraries are another means to increase the proportion of less abundant cDNA species. See, Foote et al. in, Plant Molecular Biology: A Laboratory Manual, Clark, Ed., Springer-Verlag, Berlin (1997); Kho and Zarbl, Technique 3(2):58-63 (1991); Sive and St. John, Nucl. Acids Res. 16(22):10937 (1988); Current Protocols in Molecular Biology, Ausubel, et al., Eds., Greene Publishing and Wiley-Interscience, New York (1995); and, Swaroop et al., Nucl. Acids Res. 19(8):1954 (1991). cDNA subtraction kits are commercially available. Se, .g., PCR-Select (Clontech).

To construct genomic libraries, large segments of genomic DNA are gen rated by random fragmentation. Examples of appropriat molecular

biological techniques and instructions are found in Sambrook, et al., Molecular Cloning: A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory, Vols. 1-3 (1989), Methods in Enzymology, Vol. 152: Guide to Molecular Cloning Techniques, Berger and Kimmel, Eds., San Diego: Academic Press, Inc. (1987), Current Protocols in Molecular Biology, Ausubel, et al., Eds., Greene Publishing and Wiley-Interscience, New York (1995); Plant Molecular Biology: A Laboratory Manual, Clark, Ed., Springer-Verlag, Berlin (1997). Kits for construction of genomic libraries are also commercially available.

The cDNA or genomic library can be screened using a probe based upon the sequence of a nucleic acid of the present invention such as those disclosed herein. Probes may be used to hybridize with genomic DNA or cDNA sequences to isolate homologous polynucleotides in the same or different plant species. Those of skill in the art will appreciate that various degrees of stringency of hybridization can be employed in the assay; and either the hybridization or the wash medium can be stringent. The degree of stringency can be controlled by temperature, ionic strength, pH and the presence of a partially denaturing solvent such as formamide.

Typically, stringent hybridization conditions will be those in which the salt concentration is less than about 1.5 M Na ion, typically about 0.01 to 1.0 M Na ion concentration (or other salts) at pH 7.0 to 8.3 and the temperature is at least about 30°C for short probes (e.g., 10 to 50 nucleotides) and at least about 60°C for long probes (e.g., greater than 50 nucleotides). Stringent conditions may also be achieved with the addition of destabilizing agents such as formamide.

For purposes of defining the invention the following conditions are provided. Exemplary low stringency conditions include hybridization with a buffer solution of 30 to 35% formamide, 1 M NaCl, 1% SDS (sodium dodecyl sulfate) at 37°C, and a wash in 1X to 2X SSC (20X SSC = 3.0 M NaCl/0.3 M trisodium citrate) at 50°C. Exemplary moderate stringency conditions include hybridization in 40 to 45% formamide, 1 M NaCl, 1% SDS at 37°C, and a wash in 0.5X to 1X SSC at 55°C. Exemplary high stringency conditions include hybridization in 50% formamide, 1 M NaCl, 1% SDS at 37°C, and a wash in 0.1X SSC at 60°C. Typically the time of hybridization is from 4 to 16 hours.

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An extensive guide to the hybridization of nucleic acids is found in Tijssen, Laboratory Techniques in Biochemistry and Molecular Biology—Hybridization with Nucleic Acid Probes, Part I, Chapter 2 "Overview of principles of hybridization and the strategy of nucleic acid probe assays", Elsevier, New York (1993); and Current Protocols in Molecular Biology, Chapter 2, Ausubel, et al., Eds., Greene Publishing and Wiley-Interscience, New York (1995). Often, cDNA libraries will be normalized to increase the representation of relatively rare cDNAs.

The nucleic acids of the invention can be amplified from nucleic acid samples using amplification techniques. For instance, polymerase chain reaction (PCR) technology can be used to amplify the sequences of polynucleotides of the present invention and related polynucleotides directly from genomic DNA or cDNA libraries. PCR and other *in vitro* amplification methods may also be useful, for example, to clone nucleic acid sequences that code for proteins to be expressed, to make nucleic acids to use as probes for detecting the presence of the desired mRNA in samples, for nucleic acid sequencing, or for other purposes.

Examples of techniques useful for *in vitro* amplification methods are found in Berger, Sambrook, and Ausubel, as well as Mullis *et al.*, U.S. Patent No. 4,683,202 (1987); and, *PCR Protocols A Guide to Methods and Applications*, Innis *et al.*, Eds., Academic Press Inc., San Diego, CA (1990). Commercially available kits for genomic PCR amplification are known in the art. See, e.g., Advantage-GC Genomic PCR Kit (Clontech). The T4 gene 32 protein (Boehringer Mannheim) can be used to improve yield of long PCR products. PCR-based screening methods have also been described. Wilfinger *et al.* describe a PCR-based method in which the longest cDNA is identified in the first step so that incomplete clones can be eliminated from study. *BioTechniques*, 22(3):481-486 (1997).

In one aspect of the invention, nucleic acids can be amplified from a plant nucleic acid library. The nucleic acid library may be a cDNA library, a genomic library, or a library generally constructed from nuclear transcripts at any stage of intron processing. Libraries can be made from a variety of plant tissues. Good results have been obtained using mitotically active tissues such as shoot meristems, shoot meristem cultures, embryos, callus and suspension cultures, immature ears and tassels, and young seedlings. The cDNAs of the present

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invention were obtained from immature zygotic embryo and regenerating callus libraries.

Alternatively, the sequences of the invention can be used to isolate corresponding sequences in other organisms, particularly other plants, more particularly, other monocots. In this manner, methods such as PCR, hybridization, and the like can be used to identify such sequences having substantial sequence similarity to the sequences of the invention. See, for example, Sambrook et al. (1989) Molecular Cloning: A Laboratory Manual (2d ed., Cold Spring Harbor Laboratory Press, Plainview, New York). and Innis et al. (1990), PCR Protocols: A Guide to Methods and Applications (Academic Press, New York). Coding sequences isolated based on their sequence identity to the entire inventive coding sequences set forth herein or to fragments thereof are encompassed by the present invention.

The isolated nucleic acids of the present invention can also be prepared by direct chemical synthesis by methods such as the phosphotriester method of Narang et al., Meth. Enzymol. 68:90-99 (1979); the phosphodiester method of Brown et al., Meth. Enzymol. 68:109-151 (1979); the diethylphosphoramidite method of Beaucage et al., Tetra. Lett. 22:1859-1862 (1981); the solid phase phosphoramidite triester method described by Beaucage and Caruthers, Tetra. Letts. 22(20):1859-1862 (1981), e.g., using an automated synthesizer, e.g., as described in Needham-VanDevanter et al., Nucleic Acids Res. 12:6159-6168 (1984); and, the solid support method of U.S. Patent No. 4,458,066. Chemical synthesis generally produces a single stranded oligonucleotide. This may be converted into double stranded DNA by hybridization with a complementary sequence, or by polymerization with a DNA polymerase using the single strand as a template. One of skill will recognize that while chemical synthesis of DNA is limited to sequences of about 100 bases, longer sequences may be obtained by the ligation of shorter sequences.

The nucleic acids of the present invention include those amplified using the following primer pairs: SEQ ID NOS: 3 and 4, 5 and 6, 9 and 10, or 11 and 12 or 30 primers determined by using Vector nti Suite, InforMax Version 5.

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## **EXPRESSION CASSETTES**

In another embodiment expression cassettes comprising isolated nucleic acids of the present invention are provided. An expression cassette will typically comprise a polynucleotide of the present invention operably linked to transcriptional initiation regulatory sequences which will direct the transcription of the polynucleotide in the intended host cell, such as tissues of a transformed plant.

The construction of such expression cassettes which can be employed in conjunction with the present invention is well known to those of skill in the art in light of the present disclosure. See, e.g., Sambrook, et al.; Molecular Cloning: A Laboratory Manual; Cold Spring Harbor, New York; (1989); Gelvin, et al.; Plant Molecular Biology Manual (1990); Plant Biotechnology: Commercial Prospects and Problems, eds. Prakash, et al.; Oxford & IBH Publishing Co.; New Delhi, India; (1993); and Heslot, et al.; Molecular Biology and Genetic Engineering of Yeasts; CRC Press, Inc., USA; (1992); each incorporated herein in its entirety by reference.

For example, plant expression vectors may include (1) a cloned plant gene under the transcriptional control of 5' and 3' regulatory sequences and (2) a dominant selectable marker. Such plant expression vectors may also contain, if desired, a promoter regulatory region (e.g., one conferring inducible, constitutive, environmentally- or developmentally-regulated, or cell- or tissue-specific/selective expression), a transcription initiation start site, a ribosome binding site, an RNA processing signal, a transcription termination site, and/or a polyadenylation signal.

Constitutive, tissue-preferred or inducible promoters can be employed. Examples of constitutive promoters include the cauliflower mosaic virus (CaMV) 35S transcription initiation region, the 1'- or 2'- promoter derived from T-DNA of *Agrobacterium tumefaciens*, the actin promoter, the ubiquitin promoter, the histone H2B promoter (Nakayama *et al.*, 1992, FEBS Lett 30:167-170), the Smas promoter, the cinnamyl alcohol dehydrogenase promoter (U.S. Patent No. 5,683,439), the *Nos* promoter, the pEmu promoter, the rubisco promoter, the GRP1-8 promoter, and other transcription initiation regions from various plant genes known in the art.

Examples of inducible promoters are the Adh1 promoter which is inducible by hypoxia or cold stress, the Hsp70 promoter which is inducible by heat stress, the PPDK promoter which is inducible by light, the In2 promoter which is safener induced, the ERE promoter which is estrogen induced and the Pepcarboxylase promoter which is light induced.

Examples of promoters under developmental control include promoters that initiate transcription preferentially in certain tissues, such as leaves, roots, fruit, seeds, or flowers. An exemplary promoter is the anther specific promoter 5126 (U.S. Patent Nos. 5,689,049 and 5,689,051). Examples of seed-preferred promoters include, but are not limited to, 27 kD gamma zein promoter and waxy promoter, Boronat, A., Martinez, M.C., Reina, M., Puigdomenech, P. and Palau, J.; Isolation and sequencing of a 28 kD glutelin-2 gene from maize: Common elements in the 5' flanking regions among zein and glutelin genes; *Plant Sci.* 47:95-102 (1986) and Reina, M., Ponte, I., Guillen, P., Boronat, A. and Palau, J., Sequence analysis of a genomic clone encoding a Zc2 protein from Zea mays W64 A, *Nucleic Acids Res.* 18(21):6426 (1990). See the following site relating to the waxy promoter: Kloesgen, R.B., Gierl,A., Schwarz-Sommer, Z.S. and Saedler, H., Molecular analysis of the waxy locus of Zea mays, *Mol. Gen. Genet.* 203:237-244 (1986). The disclosures each of these are incorporated herein by reference in their entirety.

Preferably a weak constitutive promoter, such as the Nos promoter, an inducible promoter, such as In2, or a nucellus-preferred or integument-preferred promoter are used to induce apospory. For example the barley or maize Nuc1 promoter, the maize Cim 1 promoter or the maize LTP2 promoter can be used to preferentially express in the nucellus. See for example US Serial No. 60/097,233 filed August 20, 1998 the disclosure of which is incorporated herein by reference.

Either heterologous or non-heterologous (i.e., endogenous) promoters can be employed to direct expression of the nucleic acids of the present invention. These promoters can also be used, for example, in expression cassettes to drive expression of antisense nucleic acids to reduce, increase, or alter concentration and/or composition of the proteins of the present invention in a desired tissue.

If polypeptide expression is desired, it is generally desirable to include a polyadenylation region at the 3'-end of a polynucleotide coding region. The

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polyadenylation region can be derived from the natural gene, from a variety of other plant genes, or from T-DNA. The 3' end sequence to be added can be derived from, for example, the nopaline synthase or octopine synthase genes, or alternatively from another plant gene, or less preferably from any other eukaryotic gene.

An intron sequence can be added to the 5' untranslated region or the coding sequence of the partial coding sequence to increase the amount of the mature message that accumulates. See for example Buchman and Berg, *Mol. Cell Biol.* 8:4395–4405 (1988); Callis *et al.*, *Genes Dev.* 1:1183-1200 (1987). Use of maize introns Adh1-S intron 1, 2, and 6, the Bronze-1 intron are known in the art. See generally, *The Maize Handbook*, Chapter 116, Freeling and Walbot, Eds., Springer, New York (1994).

The vector comprising the sequences from a polynucleotide of the present invention will typically comprise a marker gene which confers a selectable phenotype on plant cells. Usually, the selectable marker gene will encode antibiotic or herbicide resistance. Suitable genes include those coding for resistance to the antibiotics spectinomycin and streptomycin (e.g., the aada gene), the streptomycin phosphotransferase (SPT) gene coding for streptomycin resistance, the neomycin phosphotransferase (NPTII) gene encoding kanamycin or geneticin resistance, the hygromycin phosphotransferase (HPT) gene coding for hygromycin resistance.

Suitable genes coding for resistance to herbicides include those which act to inhibit the action of acetolactate synthase (ALS), in particular the sulfonylurea-type herbicides (e.g., the acetolactate synthase (ALS) gene containing mutations leading to such resistance in particular the S4 and/or Hra mutations), those which act to inhibit action of glutamine synthase, such as phosphinothricin or basta (e.g., the *bar* gene), or other such genes known in the art. The *bar* gene encodes resistance to the herbicide basta and the ALS gene encodes resistance to the herbicide chlorsulfuron. While useful in conjunction with the above antibiotic and herbicide-resistance selective markers (i.e. use of th LEC1 g ne can increas transformation frequencies when using chemical selection), a prefered use of LEC1 expression takes advantage of this gene conferring a growth advantage to transformed cells without the need for inhibitory

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compounds to retard non-transformed growth. Thus, LEC1 transformants are recovered based solely on their differential growth advantage.

Typical vectors useful for expression of genes in higher plants are well known in the art and include vectors derived from the tumor-inducing (Ti) plasmid of *Agrobacterium tumefaciens* described by Rogers *et al.*, Meth. In Enzymol. 153:253-277 (1987). Exemplary *A. tumefaciens* vectors useful herein are plasmids pKYLX6 and pKYLX7 of Schardl *et al.*, Gene, 61:1-11 (1987) and Berger *et al.*, *Proc. Natl. Acad. Sci. USA* 86:8402-8406 (1989). Another useful vector herein is plasmid pBI101.2 that is available from Clontech Laboratories, Inc. (Palo Alto, CA).

A variety of plant viruses that can be employed as vectors are known in the art and include cauliflower mosaic virus (CaMV), geminivirus, brome mosaic virus, and tobacco mosaic virus.

A polynucleotide of the present invention can be expressed in either sense or anti-sense orientation as desired. In plant cells, it has been shown that antisense RNA inhibits gene expression by preventing the accumulation of mRNA which encodes the enzyme of interest, see, e.g., Sheehy *et al.*, *Proc. Natl. Acad. Sci. USA* 85:8805-8809 (1988); and Hiatt *et al.*, U.S. Patent No. 4,801,340.

Another method of suppression is sense suppression. Introduction of nucleic acid configured in the sense orientation has been shown to be an effective means by which to block the transcription of target genes. For an example of the use of this method to modulate expression of endogenous genes see, Napoli *et al.*, *The Plant Cell* 2:279-289 (1990) and U.S. Patent No. 5,034,323.

Recent work has shown suppression with the use of double stranded RNA. Such work is described in Tabara et al., Science 282:5388:430-431 (1998).

Catalytic RNA molecules or ribozymes can also be used to inhibit expression of plant genes. The inclusion of ribozyme sequences within antisense RNAs confers RNA-cleaving activity upon them, thereby increasing the activity of the constructs. The design and use of target RNA-specific ribozymes is described in Haseloff *et al.*, *Nature* 334:585-591 (1988).

A variety of cross-linking agents, alkylating agents and radical generating species as pendant groups on polynucleotides of the present invention can be

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used to bind, label, detect, and/or cleave nucleic acids. For example, Vlassov, V. V., et al., Nucleic Acids Res (1986) 14:4065-4076, describe covalent bonding of a single-stranded DNA fragment with alkylating derivatives of nucleotides complementary to target sequences. A report of similar work by the same group is that by Knorre, D. G., et al., Biochimie (1985) 67:785-789. Iverson and Dervan also showed sequence-specific cleavage of single-stranded DNA mediated by incorporation of a modified nucleotide which was capable of activating cleavage (J. Am. Chem. Soc. (1987) 109:1241-1243). Meyer, R. B., et al., J. Am. Chem. Soc. (1989) 111:8517-8519, effect covalent crosslinking to a target nucleotide using an alkylating agent complementary to the single-stranded target nucleotide sequence. A photoactivated crosslinking to single-stranded oligonucleotides mediated by psoralen was disclosed by Lee, B. L., et al., Biochemistry (1988) 27:3197-3203. Use of crosslinking in triple-helix forming probes was also disclosed by Home, et al., J. Am. Chem. Soc. (1990) 112:2435-2437. Use of N4, N4-ethanocytosine as an alkylating agent to crosslink to single-stranded oligonucleotides has also been described by Webb and Matteucci, J. Am. Chem. Soc. (1986) 108:2764-2765; Nucleic Acids Res (1986) 14:7661-7674; Feteritz et al., J. Am. Chem. Soc. 113:4000 (1991). Various compounds to bind, detect, label, and/or cleave nucleic acids are known in the art. See, for example, U.S. Patent Nos. 5,543,507; 5,672,593; 5,484,908; 5,256,648; and, 5,681941.

### **Proteins**

In another aspect, the invention relates to an isolated protein comprising a member selected from the group consisting of:

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- (a) a polypeptide comprising at least 25 contiguous amino acids of SEQ ID NO: 2, 8, 10, 12, 14, 16, 18, 20, or 22:
- a polypeptide which is a plant HAP3-type CCATT-box binding transcriptional activator that regulates gene expression during embryo development and maturation;

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(c) a polypeptide comprising at least 60% sequence identity to SEQ ID
NO: 2, 12, 14, 16, 20, or 22, or 70 % sequence identity to SEQ ID NO:
8, 10, or 18, wherein the % sequence identity is based on the entire

sequence and is determined by GAP analysis using Gap Weight of 12 and Length Weight of 4;

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(d) a polypeptide encoded by a nucleic acid of claim 1;

- (e) a polypeptide encoded by a nucleic acid of SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21; and
- (f) a polypeptide having the sequence set forth in SEQ ID NO: 2, 8, 10, 12, 14, 16, 18, 20, or 22.

Proteins of the present invention include proteins derived from the native protein by deletion (so-called truncation), addition or substitution of one or more amino acids at one or more sites in the native protein. Such variants may result from, for example, genetic polymorphism or from human manipulation. Methods for such manipulations are generally known in the art.

Por example, amino acid sequence variants of the polypeptide can be
prepared by mutations in the cloned DNA sequence encoding the native protein of interest. Methods for mutagenesis and nucleotide sequence alterations are well known in the art. See, for example, Walker and Gaastra, eds. (1983)

Techniques in Molecular Biology (MacMillan Publishing Company, New York);
Kunkel (1985) Proc. Natl. Acad. Sci. USA 82:488-492; Kunkel et al. (1987)

Methods Enzymol. 154:367-382; Sambrook et al. (1989) Molecular Cloning: A
Laboratory Manual (Cold Spring Harbor, New York); U.S. Patent No. 4,873,192;
and the references cited therein; herein incorporated by reference. Guidance as to appropriate amino acid substitutions that do not affect biological activity of the protein of interest may be found in the model of Dayhoff et al. (1978) Atlas of

Protein Sequence and Structure (Natl. Biomed. Res. Found., Washington, D.C.), herein incorporated by reference. Conservative substitutions, such as exchanging one amino acid with another having similar properties, may be preferred.

In constructing variants of the proteins of interest, modifications to the nucleotide sequences encoding the variants will be made such that variants continue to possess the desired activity. Obviously, any mutations made in the DNA encoding the variant protein must not place the sequence out of reading

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frame and preferably will not create complementary regions that could produce secondary mRNA structure. See EP Patent Application Publication No. 75,444.

The isolated prot ins of the present invention include a polypeptide comprising at least 23 contiguous amino acids encoded by any one of the nucleic acids of the present invention, or polypeptides which are conservatively modified variants thereof. The proteins of the present invention or variants thereof can comprise any number of contiguous amino acid residues from a polypeptide of the present invention, wherein that number is selected from the group of integers consisting of from 23 to the number of residues in a full-length polypeptide of the present invention. Optionally, this subsequence of contiguous amino acids is at least 25, 30, 35, or 40 amino acids in length, often at least 50, 60, 70, 80, or 90 amino acids in length.

The present invention includes catalytically active polypeptides (i.e., enzymes). Catalytically active polypeptides will generally have a specific activity of at least 20%, 30%, or 40%, and preferably at least 50%, 60%, or 70%, and most preferably at least 80%, 90%, or 95% that of the native (non-synthetic), endogenous polypeptide. Further, the substrate specificity ( $K_{cat}/K_m$ ) is optionally substantially similar to the native (non-synthetic), endogenous polypeptide. Typically, the  $K_m$  will be at least 30%, 40%, or 50%, that of the native (non-synthetic), endogenous polypeptide; and more preferably at least 60%, 70%, 80%, or 90%. Methods of assaying and quantifying measures of enzymatic activity and substrate specificity ( $K_{cat}/K_m$ ), are well known to those of skill in the art.

The present invention includes modifications that can be made to an inventive protein. In particular, it may be desirable to diminish the activity of the LEC1 gene. Other modifications may be made to facilitate the cloning, expression, or incorporation of the targeting molecule into a fusion protein. Such modifications are well known to those of skill in the art and include, for example, a methionine added at the amino terminus to provide an initiation site, or additional amino acids (e.g., poly His) placed on either terminus to create conveniently located restriction sit s or t rmination codons or purification sequences.

Using the nucleic acids of the present invention, one may express a protein of the present invention in a recombinantly engineered cell such as bacteria,

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yeast, insect, mammalian, or preferably plant cells. The cells produce the protein in a non-natural condition (e.g., in quantity, composition, location, and/or time), because they have been genetically altered through human intervention to do so.

Typically, an intermediate host cell will be used in the practice of this invention to increase the copy number of the cloning vector. With an increased copy number, the vector containing the gene of interest can be isolated in significant quantities for introduction into the desired plant cells.

Host cells that can be used in the practice of this invention include prokaryotes, including bacterial hosts such as *Eschericia coli*, *Salmonella typhimurium*, and *Serratia marcescens*. Eukaryotic hosts such as yeast or filamentous fungi may also be used in this invention. Since these hosts are also microorganisms, it will be essential to ensure that plant promoters which do not cause expression of the polypeptide in bacteria are used in the vector.

Commonly used prokaryotic control sequences include such commonly used promoters as the beta lactamase (penicillinase) and lactose (lac) promoter systems (Chang et al., Nature 198:1056 (1977)), the tryptophan (trp) promoter system (Goeddel et al., Nucleic Acids Res. 8:4057 (1980)) and the lambda derived P L promoter and N-gene ribosome binding site (Shimatake et al., Nature 292:128 (1981)). The inclusion of selection markers in DNA vectors transfected in E. coli is also useful. Examples of such markers include genes specifying resistance to ampicillin, tetracycline, or chloramphenicol.

The vector is selected to allow introduction into the appropriate host cell. Bacterial vectors are typically of plasmid or phage origin. Expression systems for expressing a protein of the present invention are available using *Bacillus sp.* and *Salmonella* (Palva, *et al.*, *Gene* 22:229-235 (1983); Mosbach, *et al.*, *Nature* 302:543-545 (1983)).

Synthesis of heterologous proteins in yeast is well known. See Sherman, F., et al., Methods in Yeast Genetics, Cold Spring Harbor Laboratory (1982). Two widely utilized yeast for production of eukaryotic proteins are Saccharomyces cerevisiae and Pichia pastoris. Vectors, strains, and protocols for expression in Saccharomyces and Pichia are known in the art and available from commercial suppliers (e.g., Invitrogen). Suitable vectors usually have expression control sequences, such as promoters, including 3-phosphoglycerate kinase or alcohol

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oxidase, and an origin of replication, termination sequences and the like as desired.

A protein of the pr sent invention, once expressed, can be isolated from yeast by lysing the cells and applying standard protein isolation techniques to the lysates. The monitoring of the purification process can be accomplished by using Western blot techniques or radioimmunoassay of other standard immunoassay techniques.

The proteins of the present invention can also be constructed using non-cellular synthetic methods. Solid phase synthesis of proteins of less than about 50 amino acids in length may be accomplished by attaching the C-terminal amino acid of the sequence to an insoluble support followed by sequential addition of the remaining amino acids in the sequence. Techniques for solid phase synthesis are described by Barany and Merrifield, Solid-Phase Peptide Synthesis, pp. 3-284 in *The Peptides: Analysis, Synthesis, Biology. Vol. 2: Special Methods in Peptide Synthesis, Part A.*; Merrifield, et al., J. Am. Chem. Soc. 85:2149-2156 (1963), and Stewart et al., Solid Phase Peptide Synthesis, 2nd ed., Pierce Chem. Co., Rockford, Ill. (1984). Proteins of greater length may be synthesized by condensation of the amino and carboxy termini of shorter fragments. Methods of forming peptide bonds by activation of a carboxy terminal end (e.g., by the use of the coupling reagent N,N'-dicycylohexylcarbodiimide)) is known to those of skill.

The proteins of this invention, recombinant or synthetic, may be purified to substantial purity by standard techniques well known in the art, including detergent solubilization, selective precipitation with such substances as ammonium sulfate, column chromatography, immunopurification methods, and others. See, for instance, R. Scopes, *Protein Purification: Principles and Practice*, Springer-Verlag: New York (1982); Deutscher, *Guide to Protein Purification*, Academic Press (1990). For example, antibodies may be raised to the proteins as described herein. Purification from *E. coli* can be achieved following procedures described in U.S. Patent No. 4,511,503. Detection of the expressed protein is achieved by methods known in the art and include, for example, radioimmunoassays, West rn blotting techniques or immunoprecipitation.

The present inv ntion further provides a m thod for modulating (i.e., increasing or decreasing) the concentration or composition of the polypeptides of

the present invention in a plant or part thereof. Modulation can be effected by increasing or d creasing the concentration and/or the composition (i.e., the ratio

of the polypeptides of the present invention) in a plant.

The method comprises transforming a plant cell with an expression cassette comprising a polynucleotide of the present invention to obtain a transformed plant cell, growing the transformed plant cell under conditions allowing expression of the polynucleotide in the plant cell in an amount sufficient to modulate concentration and/or composition in the plant cell.

In some embodiments, the content and/or composition of polypeptides of
the present invention in a plant may be modulated by altering, *in vivo* or *in vitro*,
the promoter of a non-isolated gene of the present invention to up- or downregulate gene expression. In some embodiments, the coding regions of native
genes of the present invention can be altered via substitution, addition, insertion,
or deletion to decrease activity of the encoded enzyme. See, e.g., Kmiec, U.S.
Patent 5,565,350; Zarling *et al.*, PCT/US93/03868. One method of downregulation of the protein involves using PEST sequences that provide a target for
degradation of the protein. It has been observed that high levels of LEC1 prevent
germination. See Lotan *et al.*, Cell 1998 June 26; 93(7):1195-1205. Thus,
temporal regulation of LEC1 expression may be desirable in certain species to

In some embodiments, an isolated nucleic acid (e.g., a vector) comprising a promoter sequence is transfected into a plant cell. Subsequently, a plant cell comprising the promoter operably linked to a polynucleotide of the present invention is selected for by means known to those of skill in the art such as, but not limited to, Southern blot, DNA sequencing, or PCR analysis using primers specific to the promoter and to the gene and detecting amplicons produced therefrom. A plant or plant part altered or modified by the foregoing embodiments is grown under plant forming conditions for a time sufficient to modulate the concentration and/or composition of polypeptides of the present invention in the plant. Plant forming conditions are well known in the art.

In general, concentration or composition is increased or decreased by at least 5%, 10 %, 20%, 30 %, 40%, 50%, 60%, 70%, 80%, or 90% relative to a native control plant, plant part, or cell lacking the aforementioned expression

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cassette. Modulation in the present invention may occur during and/or subsequent to growth of the plant to the desired stage of development. Modulating nucleic acid expression temporally and/or in particular tissues can be controlled by employing the appropriate promoter operably linked to a polynucleotide of the present invention in, for example, sense or antisense orientation as discussed in greater detail, *supra*. Induction of expression of a polynucleotide of the present invention can also be controlled by exogenous administration of an effective amount of inducing compound. Inducible promoters and inducing compounds which activate expression from these promoters are well known in the art. In preferred embodiments, the polypeptides of the present invention are modulated in monocots or dicots, preferably maize, soybeans, sunflower, sorghum, canola, wheat, alfalfa, rice, barley and millet.

Means of detecting the proteins of the present invention are not critical aspects of the present invention. In a preferred embodiment, the proteins are detected and/or quantified using any of a number of well recognized immunological binding assays (see, e.g., U.S. Patents 4,366,241; 4,376,110; 4,517,288; and 4,837,168). For a review of the general immunoassays, see also Methods in Cell Biology, Vol. 37: Antibodies in Cell Biology, Asai, Ed., Academic Press, Inc. New York (1993); Basic and Clinical Immunology 7th Edition, Stites & Terr, Eds. (1991). Moreover, the immunoassays of the present invention can be performed in any of several configurations, e.g., those reviewed in Enzyme Immunoassay, Maggio, Ed., CRC Press, Boca Raton, Florida (1980); Tijan, Practice and Theory of Enzyme Immunoassays, Laboratory Techniques in Biochemistry and Molecular Biology, Elsevier Science Publishers B.V., Amsterdam (1985); Harlow and Lane, supra; Immunoassay: A Practical Guide, Chan, Ed., Academic Press, Orlando, FL (1987); Principles and Practice of Immunoassays, Price and Newman Eds., Stockton Press, NY (1991); and Nonisotopic Immunoassays, Ngo, Ed., Plenum Press, NY (1988).

Typical methods include Western blot (immunoblot) analysis, analytic biochemical methods such as electrophoresis, capillary electrophoresis, high performance liquid chromatography (HPLC), thin layer chromatography (TLC), hyperdiffusion chromatography, and the like, and various immunological m thods such as fluid or gel precipitin reactions, immunodiffusion (singl. or double),

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immunoelectrophoresis, radioimmunoassays (RIAs), enzyme-linked immunosorbent assays (ELISAs), immunofluorescent assays, and the like.

Non-radioactive labels are often attached by indirect means. Generally, a ligand molecule (e.g., biotin) is covalently bound to the molecule. The ligand then binds to an anti-ligand (e.g., streptavidin) molecule which is either inherently detectable or covalently bound to a signal system, such as a detectable enzyme, a fluorescent compound, or a chemiluminescent compound. A number of ligands and anti-ligands can be used. Where a ligand has a natural anti-ligand, for example, biotin, thyroxine, and cortisol, it can be used in conjunction with the labeled, naturally occurring anti-ligands. Alternatively, any haptenic or antigenic compound can be used in combination with an antibody.

The molecules can also be conjugated directly to signal generating compounds, *e.g.*, by conjugation with an enzyme or fluorophore. Enzymes of interest as labels will primarily be hydrolases, particularly phosphatases, esterases and glycosidases, or oxidoreductases, particularly peroxidases. Fluorescent compounds include fluorescein and its derivatives, rhodamine and its derivatives, dansyl, umbelliferone, etc. Chemiluminescent compounds include luciferin, and 2,3-dihydrophthalazinediones, e.g., luminol. For a review of various labeling or signal producing systems which may be used, see, U.S. Patent No. 4,391,904, which is incorporated herein by reference.

Some assay formats do not require the use of labeled components. For instance, agglutination assays can be used to detect the presence of the target antibodies. In this case, antigen-coated particles are agglutinated by samples comprising the target antibodies. In this format, none of the components need be labeled and the presence of the target antibody is detected by simple visual inspection.

The proteins of the present invention can be used for identifying compounds that bind to (e.g., substrates), and/or increase or decrease (i.e., modulate) the enzymatic activity of, catalytically active polypeptides of the present invention. The method comprises contacting a polypeptide of the present invention with a compound whose ability to bind to or modulate enzyme activity is to be determined. The polypeptide employed will have at least 20%, preferably at least 30% or 40%, more preferably at least 50% or 60%, and most preferably at

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least 70% or 80% of the specific activity of the native, full-length polypeptide of the present invention (e.g., enzym). Methods of measuring nzyme kinetics are well known in the art. See, e.g., Segel, *Biochemical Calculations*, 2<sup>nd</sup> ed., John Wiley and Sons, New York (1976).

Antibodies can be raised to a protein of the present invention, including individual, allelic, strain, or species variants, and fragments thereof, both in their naturally occurring (full-length) forms and in recombinant forms. Additionally, antibodies are raised to these proteins in either their native configurations or in non-native configurations. Anti-idiotypic antibodies can also be generated. Many methods of making antibodies are known to persons of skill.

In some instances, it is desirable to prepare monoclonal antibodies from various mammalian hosts, such as mice, rodents, primates, humans, *etc.*Description of techniques for preparing such monoclonal antibodies are found in, e.g., *Basic and Clinical Immunology*, 4th ed., Stites *et al.*, Eds., Lange Medical Publications, Los Altos, CA, and references cited therein; Harlow and Lane, *Supra*; Goding, *Monoclonal Antibodies: Principles and Practice*, 2nd ed., Academic Press, New York, NY (1986); and Kohler and Milstein, *Nature* 256:495-497 (1975).

Other suitable techniques involve selection of libraries of recombinant antibodies in phage or similar vectors (see, e.g., Huse et al., Science 246:1275-1281 (1989); and Ward, et al., Nature 341:544-546 (1989); and Vaughan et al., Nature Biotechnology, 14:309-314 (1996)). Alternatively, high avidity human monoclonal antibodies can be obtained from transgenic mice comprising fragments of the unrearranged human heavy and light chain Ig loci (i.e., minilocus transgenic mice). Fishwild et al., Nature Biotech., 14:845-851 (1996). Also, recombinant immunoglobulins may be produced. See, Cabilly, U.S. Patent No. 4,816,567; and Queen et al., Proc. Natl. Acad. Sci. 86:10029-10033 (1989).

The antibodies of this invention can be used for affinity chromatography in isolating proteins of the present invention, for screening expression libraries for particular expression products such as normal or abnormal protein or for raising anti-idiotypic antibodies which are us ful for detecting or diagnosing various pathological conditions related to the presence of the respective antigens.

Frequently, the proteins and antibodies of the present invention will be labeled by joining, ither covalently or non-covalently, a substance which provides for a detectable signal. A wide variety of labels and conjugation techniques are known and are reported extensively in both the scientific and patent literature. Suitable labels include radionucleotides, enzymes, substrates, cofactors, inhibitors, fluorescent moieties, chemiluminescent moieties, magnetic particles, and the like.

## Transfection/Transformation of Cells

The method of transformation/transfection is not critical to the instant invention; various methods of transformation or transfection are currently available. As newer methods are available to transform crops or other host cells they may be directly applied. Accordingly, a wide variety of methods have been developed to insert a DNA sequence into the genome of a host cell to obtain the transcription and/or translation of the sequence to effect phenotypic changes in the organism. Thus, any method which provides for efficient transformation/transfection may be employed.

A DNA sequence coding for the desired polynucleotide of the present invention, for example a cDNA or a genomic sequence encoding a full length protein, can be used to construct an expression cassette which can be introduced into the desired plant. Isolated nucleic acid acids of the present invention can be introduced into plants according techniques known in the art. Generally, expression cassettes as described above and suitable for transformation of plant cells are prepared.

Techniques for transforming a wide variety of higher plant species are well known and described in the technical, scientific, and patent literature. See, for example, Weising et al., Ann. Rev. Genet. 22:421–477 (1988). For example, the DNA construct may be introduced directly into the genomic DNA of the plant cell using techniques such as electroporation, PEG poration, particle bombardment, silicon fiber delivery, or microinjection of plant cell protoplasts or embryogenic callus. See, e.g., Tomes, et al., Direct DNA Transfer into Intact Plant Cells Via Microprojectile Bombardment. pp.197-213 in Plant Cell, Tissue and Organ Culture, Fundamental Methods. eds. O. L. Gamborg and G.C. Phillips. Springer-

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Verlag Berlin Heidelberg New York, 1995. Alternatively, the DNA constructs may be combined with suitable T-DNA flanking regions and introduced into a conventional *Agrobacterium tumefaciens* host vector. The virulence functions of the *Agrobacterium tumefaciens* host will direct the insertion of the construct and adjacent marker into the plant cell DNA when the cell is infected by the bacteria. See, U.S. Patent No. 5,591,616.

The introduction of DNA constructs using polyethylene glycol precipitation is described in Paszkowski *et al.*, *Embo J.* 3:2717-2722 (1984). Electroporation techniques are described in Fromm *et al.*, *Proc. Natl. Acad. Sci.* 82:5824 (1985). Ballistic transformation techniques are described in Klein *et al.*, *Nature* 327:70-73 (1987).

Agrobacterium tumefaciens-meditated transformation techniques are well described in the scientific literature. See, for example Horsch et al., Science 233:496-498 (1984), and Fraley et al., Proc. Natl. Acad. Sci. 80:4803 (1983). For instance, Agrobacterium transformation of maize is described in WO 98/32326. Agrobacterium transformation of soybean is described in US Pat. No. 5,563,055.

Other methods of transfection or transformation include (1) *Agrobacterium rhizogenes*-mediated transformation (see, e.g., Lichtenstein and Fuller In: Genetic Engineering, Vol. 6, PWJ Rigby, Ed., London, Academic Press, 1987; and Lichtenstein, C. P., and Draper, J. In: DNA Cloning, Vol. II, D. M. Glover, Ed., Oxford, IRI Press, 1985), Application PCT/US87/02512 (WO 88/02405 published Apr. 7, 1988) describes the use of *A. rhizogenes* strain A4 and its Ri plasmid along with *A. tumefaciens* vectors pARC8 or pARC16 (2) liposome-mediated DNA uptake (see, e.g., Freeman *et al.*, Plant Cell Physiol. 25:1353, (1984)), (3) the vortexing method (see, e.g., Kindle, *Proc. Natl. Acad. Sci.* USA 87:1228, (1990)).

DNA can also be introduced into plants by direct DNA transfer into pollen as described by Zhou et al., Methods in Enzymology, 101:433 (1983); D. Hess, Intern Rev. Cytol., 107:367 (1987); Luo et al., Plane Mol. Biol. Reporter, 6:165 (1988). Expression of polypeptide coding polynucleotides can be obtained by injection of the DNA into reproductive organs of a plant as described by Pena et al., Nature, 325:274 (1987). DNA can also be injected directly into the cells of immature embryos and the rehydration of desiccated embryos as described by

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Neuhaus et al., Theor. Appl. Genet., 75:30 (1987); and Benbrook et al., in Proceedings Bio Expo 1986, Butterworth, Stoneham, Mass., pp. 27-54 (1986).

Animal and lower eukaryotic (e.g., y ast) host cells are competent or rendered competent for transfection by various means. There are several well-known methods of introducing DNA into animal cells. These include: calcium phosphate precipitation, fusion of the recipient cells with bacterial protoplasts containing the DNA, treatment of the recipient cells with liposomes containing the DNA, DEAE dextran, electroporation, biolistics, and micro-injection of the DNA directly into the cells. The transfected cells are cultured by means well known in the art. Kuchler, R.J., *Biochemical Methods in Cell Culture and Virology*, Dowden, Hutchinson and Ross, Inc. (1977).

Altering the culture medium to suppress somatic embryogenesis in non-transformed plant cells and/or tissues to provide for a positive section means of transformed plant cells

Using the following methods for controlling somatic embryogenesis, it is possible to alter plant tissue culture media components to suppress somatic embryogenesis in a plant species of interest (often having multiple components that potentially could be adjusted to impart this effect). Such conditions would not impart a negative or toxic *in vitro* environment for wild-type tissue, but instead would simply not produce a somatic embryogenic growth form. Introducing a transgene such as LEC1 will stimulate somatic embryogenesis and growth in the transformed cells or tissue, providing a clear differential growth screen useful for identifying transformants.

Altering a wide variety of media components can modulate somatic embryogenesis (either stimulating or suppressing embryogenesis depending on the species and particular media component). Examples of media components which, when altered, can stimulate or suppress somatic embryogenesis include;

- the basal medium itself (macronutrient, micronutrients and vitamins; see T.A. Thorpe, 1981 for review, "Plant Tissue Culture: Methods and Applications in Agriculture", Academic Press, NY),
- plant phytohormones such as auxins (indole acetic acid, indole butyric acid,
   2,4-dichlorophenoxyacetic acid, naphthaleneacetic acid, picloram, dicamba

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and other functional analogues), cytokinins (zeatin, kinetin, benzyl amino purine, 2-isopentyl adenine and functionally-related compounds) abscisic acid, adenine, and gibberellic acid,

- 3) and other compounds that exert "growth regulator" effects such as coconut water, casein hydrolysate, and proline, and
- 4) the type and concentration of gelling agent, pH and sucrose concentration.

Changes in the individual components listed above (or in some cases combinations of components) have been demonstrated in the literature to modulate *in vitro* somatic embryogenesis across a wide range of dicotyledonous and monocotyledonous species. For a compilation of examples, see E.F. George *et al.* 1987. Plant Tissue Culture Media. Vol. 1: Formulations and Uses. Exergetics, Ltd., Publ., Edington, England.

## **Transgenic Plant Regeneration**

Transformed plant cells which are derived by any of the above transformation techniques can be cultured to regenerate a whole plant which possesses the transformed genotype. Such regeneration techniques often rely on manipulation of certain phytohormones in a tissue culture growth medium, typically relying on a biocide and/or herbicide marker that has been introduced together with a polynucleotide of the present invention. For transformation and regeneration of maize see, Gordon-Kamm et al., The Plant Cell, 2:603-618 (1990).

Plants cells transformed with a plant expression vector can be regenerated, e.g., from single cells, callus tissue or leaf discs according to standard plant tissue culture techniques. It is well known in the art that various cells, tissues, and organs from almost any plant can be successfully cultured to regenerate an entire plant. Plant regeneration from cultured protoplasts is described in Evans et al., Protoplasts Isolation and Culture, Handbook of Plant Cell Culture, Macmillan Publishing Company, New York, pp. 124-176 (1983); and Binding, Regeneration of Plants, Plant Protoplasts, CRC Pr. ss, Boca Raton, pp. 21-73 (1985).

The regeneration of plants containing the foreign gene introduced by Agrobacterium can be achieved as described by Horsch et al., Science,

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227:1229-1231 (1985) and Fraley *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* 80:4803 (1983). This procedure typically produces shoots within two to four weeks and these transformant shoots are then transferred to an appropriate root-inducing medium containing the selective agent and an antibiotic to prevent bacterial growth. Transgenic plants of the present invention may be fertile or sterile.

Regeneration can also be obtained from plant callus, explants, organs, or parts thereof. Such regeneration techniques are described generally in Klee *et al., Ann. Rev. of Plant Phys.* 38:467-486 (1987). The regeneration of plants from either single plant protoplasts or various explants is well known in the art. See, for example, Methods for Plant Molecular Biology, A. Weissbach and H. Weissbach, eds., Academic Press, Inc., San Diego, Calif. (1988). For maize cell culture and regeneration see generally, *The Maize Handbook*, Freeling and Walbot, Eds., Springer, New York (1994); *Corn and Corn Improvement*, 3<sup>rd</sup> edition, Sprague and Dudley Eds., American Society of Agronomy, Madison, Wisconsin (1988).

One of skill will recognize that after the expression cassette is stably incorporated in transgenic plants and confirmed to be operable, it can be introduced into other plants by sexual crossing. Any of a number of standard breeding techniques can be used, depending upon the species to be crossed.

In vegetatively propagated crops, mature transgenic plants can be propagated by the taking of cuttings, via production of apomictic seed, or by tissue culture techniques to produce multiple identical plants. Selection of desirable transgenics is made and new varieties are obtained and propagated vegetatively for commercial use. In seed propagated crops, mature transgenic plants can be self crossed to produce a homozygous inbred plant. The inbred plant produces seed containing the newly introduced heterologous nucleic acid. These seeds can be grown to produce plants that would produce the selected phenotype.

Parts obtained from the regenerated plant, such as flowers, seeds, leaves, branches, fruit, and the like are included in the invention, provided that these parts comprise cells comprising the isolated nucleic acid of the present invention. Progeny and variants, and mutants of the regenerated plants are also included

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within the scope of the invention, provided that these parts comprise the introduced nucleic acid sequences.

Transgenic plants xpressing a selectable marker can be screened for transmission of the nucleic acid of the present invention by, for example, standard immunoblot and DNA detection techniques. Transgenic lines are also typically evaluated on levels of expression of the heterologous nucleic acid. Expression at the RNA level can be determined initially to identify and quantitate expressionpositive plants. Standard techniques for RNA analysis can be employed and include PCR amplification assays using oligonucleotide primers designed to amplify only the heterologous RNA templates and solution hybridization assays using heterologous nucleic acid-specific probes. The RNA-positive plants can then analyzed for protein expression by Western immunoblot analysis using the specifically reactive antibodies of the present invention. In addition, in situ hybridization and immunocytochemistry according to standard protocols can be done using heterologous nucleic acid specific polynucleotide probes and antibodies, respectively, to localize sites of expression within transgenic tissue. Generally, a number of transgenic lines are usually screened for the incorporated nucleic acid to identify and select plants with the most appropriate expression profiles.

A preferred embodiment is a transgenic plant that is homozygous for the added heterologous nucleic acid; i.e., a transgenic plant that contains two added nucleic acid sequences, one gene at the same locus on each chromosome of a chromosome pair. A homozygous transgenic plant can be obtained by sexually mating (selfing) a heterozygous transgenic plant that contains a single added heterologous nucleic acid, germinating some of the seed produced and analyzing the resulting plants produced for altered expression of a polynucleotide of the present invention relative to a control plant (i.e., native, non-transgenic). Backcrossing to a parental plant and out-crossing with a non- transgenic plant are also contemplated. Alternatively, propagation of heterozygous transgenic plants could be accomplished through apomixis.

The present invintion provides a method of genotyping a plant comprising a polynucleotide of the present invention. Genotyping provides a means of distinguishing homologs of a chromosome pair and can be used to differentiate

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segregants in a plant population. Molecular marker methods can be used for phylogenetic studies, characterizing genetic relationships among crop varieties, identifying crosses or somatic hybrids, localizing chromosomal segments affecting monogenic traits, map based cloning, and the study of quantitative inheritance. See, e.g., *Plant Molecular Biology: A Laboratory Manual*, Chapter 7, Clark, Ed., Springer-Verlag, Berlin (1997). For molecular marker methods, see generally, The DNA Revolution by Andrew H. Paterson 1996 (Chapter 2) in: Genome Mapping in Plants (ed. Andrew H. Paterson) by Academic Press/R. G. Landis Company, Austin, Texas, pp.7-21.

The particular method of genotyping in the present invention may employ any number of molecular marker analytic techniques such as, but not limited to, restriction fragment length polymorphisms (RFLPs). RFLPs are the product of allelic differences between DNA restriction fragments caused by nucleotide sequence variability. Thus, the present invention further provides a means to follow segregation of a gene or nucleic acid of the present invention as well as chromosomal sequences genetically linked to these genes or nucleic acids using such techniques as RFLP analysis.

Plants which can be used in the method of the invention include monocotyledonous and dicotyledonous plants. Preferred plants include maize, wheat, rice, barley, oats, sorghum, millet, rye, soybean, sunflower, alfalfa, canola and cotton.

Seeds derived from plants regenerated from transformed plant cells, plant parts or plant tissues, or progeny derived from the regenerated transformed plants, may be used directly as feed or food, or further processing may occur.

All publications cited in this application are herein incorporated by reference to the same extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

The present invention will be further described by reference to the following detailed examples. It is understood, however, that there are many extensions, variations, and modifications on the basic theme of the present invention beyond that shown in the examples and description, which are within the spirit and scope of the present invention.

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## **EXAMPLES**

#### Example 1

## Library construction used for the maize LEC1 EST's

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#### A. Total RNA Isolation

Total RNA was isolated from maize embryo and regenerating callus tissues with TRIzol Reagent (Life Technology Inc. Gaithersburg, MD) using a modification of the guanidine isothiocyanate/acid-phenol procedure described by Chomczynski and Sacchi (Chomczynski, P., and Sacchi, N. *Anal. Biochem.* 162, 156 (1987)). In brief, plant tissue samples were pulverized in liquid nitrogen before the addition of the TRIzol Reagent, and then were further homogenized with a mortar and pestle. Addition of chloroform followed by centrifugation was conducted for separation of an aqueous phase and an organic phase. The total RNA was recovered by precipitation with isopropyl alcohol from the aqueous phase.

### B. Poly(A)+ RNA Isolation

The selection of poly(A)+ RNA from total RNA was performed using PolyATact system (Promega Corporation. Madison, WI). In brief, biotinylated oligo(dT) primers were used to hybridize to the 3' poly(A) tails on mRNA. The hybrids were captured using streptavidin coupled to paramagnetic particles and a magnetic separation stand. The mRNA was washed at high stringent condition and eluted by RNase-free deionized water.

## 25 C. cDNA Library Construction

cDNA synthesis was performed and unidirectional cDNA libraries were constructed using the SuperScript Plasmid System (Life Technology Inc. Gaithersburg, MD). The first stand of cDNA was synthesized by priming an oligo(dT) primer containing a Not I site. The reaction was catalyzed by SuperScript Reverse Transcriptase II at 45°C. The second strand of cDNA was labeled with alpha-<sup>32</sup>P-dCTP and a portion of the r action was analyzed by agarose gel electrophoresis to determin cDNA siz s. cDNA molecules smaller than 500 base pairs and unligated adapters were removed by Sephacryl-S400

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chromatography. The selected cDNA molecules were ligated into pSPORT1 vector in between of Not I and Sal I sites.

# Example 2

# 5 Sequencing and cDNA subtraction procedures used for maize LEC1 EST's

# A. Sequencing Template Preparation

Individual colonies were picked and DNA was prepared either by PCR with M13 forward primers and M13 reverse primers, or by plasmid isolation. All the cDNA clones were sequenced using M13 reverse primers.

# **B. Q-bot Subtraction Procedure**

cDNA libraries subjected to the subtraction procedure were plated out on 22 x 22 cm² agar plate at density of about 3,000 colonies per plate. The plates were incubated in a 37°C incubator for 12-24 hours. Colonies were picked into 384-well plates by a robot colony picker, Q-bot (GENETIX Limited). These plates were incubated overnight at 37°C.

Once sufficient colonies were picked, they were pinned onto 22 x 22 cm<sup>2</sup> nylon membranes using Q-bot. Each membrane contained 9,216 colonies or 36,864 colonies. These membranes were placed onto agar plate with appropriate antibiotic. The plates were incubated at 37°C for overnight.

After colonies were recovered on the second day, these filters were placed on filter paper prewetted with denaturing solution for four minutes, then were incubated on top of a boiling water bath for additional four minutes. The filters were then placed on filter paper prewetted with neutralizing solution for four minutes. After excess solution was removed by placing the filters on dry filter papers for one minute, the colony side of the filters were place into Proteinase K solution, incubated at 37°C for 40-50 minutes. The filters were placed on dry filter papers to dry overnight. DNA was then cross-linked to nylon membrane by UV light treatment.

Colony hybridization was conducted as described by Sambrook, J., Fritsch, E.F. and Maniatis, T., (in Molecular Cloning: A laboratory Manual, 2<sup>nd</sup> Edition). The following probes were used in colony hybridization:

- First strand cDNA from the same tissue from which the library was made to remove the most redundant clones.
- 2. 48-192 most redundant cDNA clones from the same library based on previous sequencing data.
- 3. 192 most redundant cDNA clones in the entire corn sequence database.
- cDNA clones derived from rRNA.

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The image of the autoradiography was scanned into computer and the signal intensity and cold colony addresses of each colony was analyzed. Re-arraying of cold-colonies from 384 well plates to 96 well plates was conducted using Q-bot.

15 Example 3

# Identification of Maize LEC1 EST's from a Computer Homology Search

Gene identities were determined by conducting BLAST (Basic Local Alignment Search Tool; Altschul, S. F., et al., (1993) J. Mol. Biol. 215:403-410; see also www.ncbi.nlm.nih.gov/BLAST/) searches under default parameters for similarity to sequences contained in the BLAST "nr" database (comprising all non-redundant GenBank CDS translations, sequences derived from the 3-dimensional structure Brookhaven Protein Data Bank, the last major release of the SWISS-PROT protein sequence database, EMBL, and DDBJ databases). The cDNA sequences were analyzed for similarity to all publicly available DNA sequences contained in the "nr" database using the BLASTN algorithm. The DNA sequences were translated in all reading frames and compared for similarity to all publicly available protein sequences contained in the "nr" database using the BLASTX algorithm (Gish, W. and States, D. J. (1993) Nature Genetics 3:266-272) provided by the NCBI. In some cases, the sequencing data from two or more clones containing overlapping segments of DNA were used to construct contiguous DNA sequences.

## **EXAMPLE 4**

# Composition of cDNA Librari s Used to Isolat and S qu nce Additional cDNA Clones

5 cDNA libraries representing mRNAs from various corn, poppy, soybean and *Vernonia* tissues were prepared (see Table 1). The characteristics of the libraries are described below.

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### TABLE 1

cDNA Libraries from Corn, Poppy, Soybean and Vernonia

Corn endosperm 20 days After pollination\*

Prickly poppy developing seeds

Soybean embryo, 6 to 10 days after flowering

Soybean embryo, 13 days after flowering

Soybean embryogenic suspension 2 weeks after subculture

Soybean mature embryo 8 weeks after subculture

Soybean embryogenic suspension

Vernonia developing seed\*

cDNA libraries were prepared in Uni-ZAP™ XR vectors according to the manufacturer's protocol (Stratagene Cloning Systems, La Jolla, CA). Conversion of the Uni-ZAP™ XR libraries into plasmid libraries was accomplished according to the protocol provided by Stratagene. Upon conversion, cDNA inserts were contained in the plasmid vector pBluescript. cDNA inserts from randomly picked bacterial colonies containing recombinant pBluescript plasmids were amplified via polymerase chain reaction using primers specific for vector sequences flanking the inserted cDNA sequences or plasmid DNA was prepared from cultured bacterial cells. Amplified insert DNAs or plasmid DNAs were sequenced in dye-primer sequencing reactions to generate partial cDNA sequences (expressed sequence tags or "ESTs"; see Adams, M. D. *et al.*, (1991) *Science 252*:1651).

<sup>\*</sup>These libraries were normalized essentially as described in U.S. Pat. No. 5,482,845, incorporated herein by reference.

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The resulting ESTs were analyzed using a Perkin Elmer Model 377 fluorescent sequencer.

#### **EXAMPLE 5**

# Identification of cDNA Clones obtained from tissue described in Table 1

ESTs encoding plant transcription factors were identified by conducting BLAST (Basic Local Alignment Search Tool; Altschul, S. F., et al., (1993) *J. Mol. Biol. 215*:403–410; see also www.ncbi.nlm.nih.gov/BLAST/) searches for similarity to sequences contained in the BLAST "nr" database (comprising all non-redundant GenBank CDS translations, sequences derived from the 3-dimensional structure Brookhaven Protein Data Bank, the last major release of the SWISS-PROT protein sequence database, EMBL, and DDBJ databases). The cDNA sequences obtained in Example 1 were analyzed for similarity to all publicly available DNA sequences contained in the "nr" database using the BLASTN algorithm provided by the National Center for Biotechnology Information (NCBI). The DNA sequences were translated in all reading frames and compared for similarity to all publicly available protein sequences contained in the "nr" database using the BLASTX algorithm (Gish, W. and States, D. J. (1993) *Nature Genetics* 3:266-272 and Altschul, Stephen F., *et al.* (1997) *Nucleic Acids Res.* 25:3389-3402) provided by the NCBI.

#### Example 6

# Identification of protein motifs diagnostic for LEC1 genes.

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To determine the structural requirements for a LEC1 gene, HAP3 homologs were identified in our EST database and aligned. By analyzing sequence homology amongst the plant HAP3 family of transcriptional activators these sequences were observed to fall into a least two distinctive groups. All of the HAP3 sequences derived from seed or embryo specific libraries form a distinctive LEC1 group that suggests a common evolutionary origin (confirmed by phylodentrograms). For example within the "B domain" of all plant LEC1 sequences examined, a highly conserved CCAAT-box binding motif has been

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found to contain the non-variable residues methionine, proline, isoleucine, alanine, asparagine, valine, and isoleucine (MPIANVI). LEC1 genes are highly divergent outside of the region spanning the DNA binding and subunit interaction motifs. The low levels of homology between these genes make it difficult to identify these based solely on a hybridization strategy. Using sequences from maize, soybean, wheat, prickly poppy, *Vernonia*, and *Arabidopsis* a motif diagnostic for LEC1 genes was identified, the specific amino acid substitutions for these species was clarified (Figure 1) and the positions at which amino acid substitutions occur within the LEC1 group was determined (SEQ ID NO: 23). Using Blast, the motif in SEQ ID NO: 23 was used to correctly distinguish LEC1's from other closely related plant HAP3 transcriptional activators.

# Example 7

# Transformation and Regeneration of Maize Callus

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Immature maize embryos from greenhouse or field grown High type II donor plants were bombarded with a plasmid containing a polynucleotide of the invention (LEC1). The LEC1 polynucleotide was operably linked to a constitutive promoter such as nos, or an inducible promoter, such as In2, plus a plasmid containing the selectable marker gene PAT (Wohlleben *et al.* (1988) *Gene* 70:25-37) that confers resistance to the herbicide Bialaphos fused to the Green Fluorescence protein. Transformation was performed as follows.

The ears were surface sterilized in 50% Chlorox bleach plus 0.5% Micro detergent for 20 minutes, and rinsed two times with sterile water. The immature embryos were excised and placed embryo axis side down (scutellum side up), 25 embryos per plate. These were cultured on 560 L medium 4 days prior to bombardment in the dark. Medium 560 L is an N6-based medium containing Eriksson's vitamins, thiamine, sucrose, 2,4-D, and silver nitrate. The day of bombardment, the embryos were transferred to 560 Y medium for 4 hours and were arranged within the 2.5-cm target zone. Medium 560Y is a high osmoticum medium (560L with high sucrose concentration).

A plasmid vector comprising a polynucleotide of the invention operably linked to the selected promoter was constructed. This plasmid DNA plus plasmid

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DNA containing a PAT selectable marker was precipitated onto 1.1  $\mu$ m (average diameter) tungsten pellets using a CaCl<sub>2</sub> precipitation procedure as follows: 100  $\mu$ l prepared tungsten particles (0.6 mg) in water, 20  $\mu$ l (2  $\mu$ g) DNA in TrisEDTA buffer (1  $\mu$ g total), 100  $\mu$ l 2.5 M CaCl<sub>2</sub>, 40  $\mu$ l 0.1 M spermidine.

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Each reagent was added sequentially to the tungsten particle suspension. The final mixture was sonicated briefly. After the precipitation period, the tubes were centrifuged briefly, liquid removed, washed with 500 ml 100% ethanol, and centrifuged again for 30 seconds. Again the liquid was removed, and 60  $\mu$ l 100% ethanol was added to the final tungsten particle pellet. For particle gun bombardment, the tungsten/DNA particles were briefly sonicated and 5  $\mu$ l spotted onto the center of each macrocarrier and allowed to dry about 2 minutes before bombardment.

The sample plates were bombarded at a distance of 8 cm from the stopping screen to the tissue, using a Dupont biolistics helium particle gun. All samples received a single shot at 650 PSI, with a total of ten aliquots taken from each tube of prepared particles/DNA.

Four to 12 hours post bombardment, the embryos were moved to 560P (a low osmoticum callus initiation medium similar to 560L but with lower silver nitrate), for 3-7 days, then transferred to 560R selection medium, an N6 based medium similar to 560P containing 3 mg/liter Bialaphos, and subcultured every 2 weeks. Multicellular GFP cell clusters became visible after two weeks and their numbers were periodically recorded. After approximately 10 weeks of selection, selection-resistant GFP positive callus clones were sampled for PCR and activity of the polynucleotide of interest. Positive lines were transferred to 288J medium, an MS-based medium with lower sucrose and hormone levels, to initiate plant regeneration. Following somatic embryo maturation (2-4 weeks), well-developed somatic embryos were transferred to medium for germination and transferred to the lighted culture room. Approximately 7-10 days later, developing plantlets were transferred to medium in tubes for 7-10 days until plantlets were well established. Plants were then transferred to insirts in flats (equivalent to 2.5" pot) containing potting soil and grown for 1 week in a growth chamber, subsequently grown an additional 1-2 weeks in the greenhouse, then transferred

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to Classic<sup>™</sup> 600 pots (1.6 gallon) and grown to maturity. Plants are monitored for expression of the polynucleotide of interest.

# Example 8

# Ectopic Expression of Maize LEC1 to Induce Somatic Embryogenesis

Using the genotype High type II as an example, embryos were isolated and cultured on 560L medium for 3-5 days. Four to twelve hours before bombardment these embryos were transferred to high osmotic 560Y medium. Expression cassettes containing the LEC1 cDNA were then co-introduced into the scutella of these embryos along with an expression cassette containing the Pat gene fused to the Green Fluorescent protein using methods described in Example 7. Embryos from a single ear were divided evenly between treatments. Four to 12 hours following bombardment embryos were then transferred back to a low osmoticum callus initiation medium (560P) and incubated in the dark at 26°C. After 3-7 days of culture these embryos were moved to 560R selection medium. Cultures were then transferred every two weeks until transformed colonies appear. Cultures were also examined microscopically for GFP expression. LEC1 expression was expected to stimulate adventive embryo formation. This was apparent when the cultures were compared to controls (transformed without the LEC1 cDNA or non-induced).

# A. Ectopic expression of the maize LEC1 polynucleotide in tobacco is sufficient to induce somatic embryogenesis in tobacco leaves

A maize LEC1 polynucleotide was placed into an agrobacterium expression cassette driven by the maize safener-induced In2 promoter (this promoter is leaky and expresses at low levels without induction). Also between the left and right T-DNA borders was the *bar* gene driven by 35S promoter and the Green Fluorescence Protein driven by the ubiquitin promoter. A similar construct was made without the LEC1 polynucleotide to be used as a control. Tobacco leaf discs from variety SR1 were co-cultured with Agrobacterium as described by Horsch *et al.* (1985, Science 227:1229-1231) except selecting with bialaphos rather than kanamycin. Transformants were selected on medium

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containing 3mg/l bialaphos. Of the numerous transformed shoot obtained, ectopic embryos were visible on the leaves of a single LEC1 transformant. None were visible on control plants. Although the frequency was low, ectopic somatic embryo formation was also reported to be a rare event in Arabidopsis LEC1 transformants (Lotan *et al.* 1998).

# B. Transformation frequency was improved by LEC1 introduced using particle-mediated DNA delivery.

A series of expression cassettes were made to evaluate the effects of LEC1 expression on maize transformation. The maize LEC1 polynucleotide was placed under the control of the In2 promoter (weakly induced with the auxin levels used under normal culture conditions and strongly-induced with safener), the barley NUC1 promoter (expressed strongly in the nucellus), the Ubiquitin promoter (strongly expressed constitutively), and the nos promoter (weakly expressed constitutively). A frame-shift version of the In2:LEC1 cassette was made along with an In2:ZM-NF-YB (designated as In2:HAP3 henceforth) construct (The maize ZM NF-YB is non-LEC1 type of HAP3 transcriptional activator (Li et al Nucleic Acids Res. 20:1087-1091) for use as negative controls. All of these constructs were co-bombarded with the Pat~GFP fusion construct (designated as PAT~GFP) into high type II embryos as described in Example 7. Also, as in Example 7, immature embryos were harvested from separate ears, and the embryos from each ear were divided equally between treatments to account for ear-to-ear variability (for example, in an experiment comparing a control plasmid with this same plasmid + LEC1, one-half the total embryos from each ear would be used for each treatment. In some cases the control treatment contained the Pat~GFP construct co-bombarded with GUS. Transformation frequency was determined by counting the numbers of embryos with large multicellular GFPpositive cells clusters using a GFP microscope, and representing these as a percentage of the original number of embryos bombarded. No distinction was made between embryos with single or multiple evants. In all cases, the functional LEC1 expression cassettes incr as d transformation fr quenci s over the control treatment (the LEC1 xpression cass tt also increased the incidence of multiple, 2-3, multicellular transgenic clones growing from the same immature embryo.

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but as stated above we only scored these as a single event, and are providing a conservative representation of LEC1's ability to improve transformation). For example, transformation frequencies in control treatments for three consecutive experiments were 5.1, 7.4 and 0.8%. In balanced side-by-side comparisons for the same three experiments, transformation frequencies with the LEC1 polynucleotide (In2::LEC1::pinII) were 28.8, 25.7 and 12.4%, respectively. In addition to increasing the absolute number of transformants recovered from a given amount of target tissue, LEC1 transformants appeared earlier than the control transformants (suggesting that the LEC1 polynucleotide also stimulated growth rates).

As a more stringent control, an expression vector was constructed in which a LEC1 gene, frame-shifted immediately after the start codon, was placed behind the In2 promoter. In this experiment using embryos from 3 separate ears, the transformation frequencies in the control (frame-shifted LEC1) treatments were 2.7, 6.0 and 2.0%, while the transformation frequencies for the LEC1 treatments were 62.7, 26 and 42.7%. This demonstrated clearly that expression of the inframe LEC1 polynucleotide was associated with dramatic increases in transformation efficiency.

Increasing the promoter strength (driving LEC1 expression) increased transformation frequencies. For example, an experiment was performed to compare the In2, nos and UBI promoters. Based on our experience with these two promoters driving other genes, the In2 promoter (in the absence of an inducer other than auxin from the medium) would drive expression at very low levels. The nos promoter has been shown to drive moderately-low levels of transgene expression (approximately 10- to 30-fold lower than the maize ubiquitin promoter, but still stronger than In2 under the culture conditions used in this experiment). Two control treatments were used in this experiment; the frame-shifted LEC1 driven by the In2 promoter, or a maize In2:HAP3 polynucleotide (a "non-LEC1 type" representative of the transcriptional factor family to which LEC1 belongs). Both control treatments resulted in low transformation frequencies. After 3 weeks, the transformation frequency for the In2:frame-shift-LEC1 (FS) treatment was 4.8%, while for the In2:HAP3 treatment it was 2%. The In2:LEC1, nos:LEC1 and UBI:LEC1 treatments resulted in 14%, 28% and 30% transformation frequencies,

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respectively. Within these treatments there was also an increase in the overall frequency of large, rapidly growing calli. For the control treatments, the frequencies of large, vigorous GFP+ calli (relative to the starting number of embryos) was low (1.6 and 0% for the frame-shift or In2:HAP3, respectively). For the In2, nos and UBI treatments the overall frequencies of large, vigorous calli was 4, 13.3 and 20%, respectively. This is consistent with the interpretation that increased LEC1 expression resulted in more rapid *in vitro* growth of transgenic tissue. As is typical for transformation experiments scored in this fashion, between 3-6 weeks the number of recovered transformants continues to rise. After 5 weeks (post-bombardment), the frequency of healthy, growing transformants was 4.8 and 7.3% for the FS and HAP3 controls, while for the In2, nos and UBI-driven LEC1 treatments the frequencies were 22, 29.3 and 35.3%.

# C. Transformation frequency was improved by LEC1 introduced using agrobacterium.

The *Agrobacterium* strains containing the superbinary plasmids described in Example 8A were used to transformed High type II embryos. Briefly, colonies containing the engineered *Agrobacterium* were grown to log phase in minimal A medium. Log phase cells were collected by centrifugation and resuspended in 561Q medium (N6 salts, Eriksson's vitamins, 1.5mg/l 2,4-D , 68.5g/l sucrose, 36g/l glucose, plus 20mg/l acetosyringone). Immature embryos, 1.5-2mm in length, were excised and immersed in this solution at a concentration of 5 X 10<sup>8</sup> bacterial cells/ml. Embryos were vortexed in this medium and allowed to sit for 5 minutes. The embryos were then removed and placed on 562P medium (560P medium with 100mM acetosyringone and incubated at 20°C for 3 days. Embryos were moved again to 563N medium (an agar solidified medium similar to 560P with 100mg/l carbenicillin, 0.5g/l MES and reduced 2,4-D) and cultured at 28°C for 3 days. Embryos were then moved to 563O medium (563N medium with 3mg/l bialaphos) and transferred thereafter every 14 days to fresh 563O medium.

Bialaphos resistant GFP+ colonies were counted using a GFP microscope and transformation frequencies were determined as described in exampl 8B. Similar to particle gun experiments, transformation frequencies wer greatly increased in the LEC1 treatm nt. For example, transformation frequencies for the

control treatment across embryos taken from 7 separate ears were 7.1, 40.9, 11.1, 7.4, 11.5, 12, 30.8, and 16.6%. The side-by-side comparison for the LEC treatment (in the same order of ears as above) showed that transformation frequencies were 13.5, 47, 55.8, 37.1, 40.6, 30, 57.1 and 40.8%. Averaged across all 7 ears, the average transformation frequency for the control was 16.6% while that of the LEC1 treatment was 40.8%. This represents a substantial increase for an already high baseline produced by Agrobacterium-mediated transformation. Comparing across ears, it was observed that the beneficial effects on transformation frequency were the greatest when the control frequencies were low.

D. Transformants were recovered using LEC1 expression under reduced auxin levels or in the absence of auxins in the medium, and in the absence of herbicide or antibiotic selection.

To determine if LEC1 could be used in a positive selection scheme, particle gun transformation experiments were initiated as described in Example 4 and transformants were selected on medium with normal auxin levels, or on medium with reduced or no auxin, or visually (using GFP) on medium without bialaphos. Transformation frequencies were based on the numbers of embryos with one or more multicellular GFP positive cell clusters. In the first experiment to test this concept, there were two treatment variables. The first was that immature embryos were bombarded with the control plasmid (UBI:PAT~GFP) or with UBI:PAT~GFP+In2:LEC1. The second variable was that the bombarded embryos were divided onto either normal bialaphos-containing selection medium (with normal auxin levels of 2 mg/l 2,4-D), or medium with no bialaphos and reduced 2,4-D levels (0.5 mg/l). As expected from previous results, on bialaphos selection the LEC1 treatment resulted in a higher transformation frequency than the control (5.7 versus 2.5%). It was also anticipated that the low auxin medium (0.5 mg/l 2,4-D) would result in reduced growth rates. Consistent with this, for the control plasmid treatment (UBI:PAT~GFP), recovery of GFP-expressing (fluorescent) colonies was reduced relative to highly-effective bialaphos-selection treatment, dropping down to 0.6%. In contrast, it app ared that LEC1 expression, through its stimulation of embryogenesis, may hav compensated for the low auxin

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environment, providing a growth advantage to the transgenic colonies, and maintaining the efficiency of transformant recovery at 4.0% (still in the same range as the LEC1/bialaphos-selected treatment). It's clear from this result that the inclusion of LEC1 improved colony growth on reduced auxin relative to the control.

On medium completely devoid of auxin, colonies were only observed in the LEC1 treatment. In this experiment, immature embryos were bombarded with either the control plasmid (UBI:PAT~GFP) or with UBI:PAT~GFP+In2:LEC1, and then plated either onto 3.0 mg/l bialaphos, 2.0 mg/l 2,4-D medium or onto nobialaphos, no 2,4-D medium (in this latter treatment, wild-type maize callus will not exhibit embryogenic growth). Again, as expected, the LEC1 polynucleotide increased transformation to 22.7% over the control plasmid value of 8% on normal auxin-containing, bialaphos selection medium. Also, as expected, no transformants were recovered with the control plasmid on medium devoid of exogenous auxin. Surprisingly, in the LEC1 treated embryos transformants were recovered at a 4% frequency (this was still higher than the control plasmid on bialaphos selection).

Even on auxin-containing medium, the LEC1 polynucleotide in combination with GFP+ expression can be used to recover transformants without chemical selection. For example, under these conditions the recovery of transformants was relatively efficient (16% compared to 18% for bialaphos selection), but this required more diligence than the low- or no-auxin treatments above to separate the GFP-expressing colonies from the growing callus population.

# 25 E. LEC1 improves the embryogenic phenotype and regeneration capacity of inbreds.

Immature embryos from the inbred PHP38 were isolated, cultured and transformed as described in example 4 with the following changes. Embryos were initially cultured on 601H medium (a MS based medium with 0.1mg/l zeatin, 2mg/l 2,4-D, MS and SH vitamins, proline, silver nitrate, extra potassium nitrate, casein hydrolysat, gelrite, 10g/l glucose and 20g/l sucrose). Prior to bombardm nt embryos were moved to a high osmoticum medium (modified Duncan's with 2mg/l 2,4-D and 12% sucros ). Post bombardment, embryos were moved to 601H

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medium with 3mg/l bialaphos for two weeks. Embryos were then moved to 601H medium without proline and casein hydrolysate with 3mg/l bialaphos and transferred every two weeks. Transformation frequency was determined by counting the numbers of bialaphos resistant GFP-positive colonies. Colonies were also scored on whether they had an embryogenic (regenerable) or nonembryogenic phenotype. In PHP38, the LEC1 polynucleotide increased transformation frequency and improved the regenerative potential of the callus. For example, a balanced experiment (the embryos from each harvested ear were divided equally between treatments) was conducted in which PHP38 immature embryos were bombarded with the control plasmid (UBI::PAT~GFP::pinII) in one treatment, with the UBI::PAT~GFP::pinII plasmid + In2::LEC1, or with the UBI::PAT~GFP::pinII plasmid + nuc1::LEC1 (a maize nucellus-specific promoter driving LEC1 expression). The frequency of GFP+ calli growing on bialaphoscontaining media (relative to the starting number of embryos) was determined 6 weeks after bombardment. For the control treatment, the transformation frequency was 1.2%, while for the In2:LEC1 and nuc1::LEC1 treatments the transformation frequencies were 3.2 and 2.0% respectively. In addition, the presence of the LEC1 polynucleotide appeared to greatly improve the regeneration capacity of the recovered transformants. None of the control transformants (UBI::PAT~GFP::pinII alone) had an embryogenic, regenerable phenotype, while the transformants recovered from the In2:LEC1 and nuc1::LEC1 treatments all exhibited a more vigorous, embryogenic growth pattern. This has been born out in the ability to recover plants. Callus from the In2:LEC1 and nuc1::LEC1 treatments has produced many healthy plants.

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# Example 9

# Transient Expression of the LEC1 polynucleotide Product to Induce Somatic Embryogenesis

It may be desirable to "kick start" somatic embryogenesis by transiently expressing the LEC-1 polynucleotide product. This can be done by delivering LEC1 5'capped polyadenylated RNA, expression cassettes containing LEC-1 DNA, or LEC-1 protein. All of these molecules can be delivered using a biolistics

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particle gun. For example 5'capped polyadenylated LEC1 RNA can easily be made *in vitro* using Ambion's mMessage mMachine kit. Following the procedure outline above RNA is co-delivered along with DNA containing an agronomically useful expression cassette. The cells receiving the RNA will immediately form somatic embryos and a large portion of these will have integrated the agronomic gene. Plants regenerated from these embryos can then be screened for the presence of the agronomic gene.

# Example 10

# Use of the maize LEC1 to induce apomixis

Maize expression cassettes directing LEC1 expression to the inner integument or nucellus can easily be constructed. An expression cassette directing expression of the LEC1 polynucleotide to the nucellus was made using the barley Nuc1 promoter. Embryos were co-bombarded with the selectable marker PAT fused to the GFP gene along with the nucellus specific LEC1 expression cassette described above. Both inbred (PHP38) and GS3 transformants were obtained and regenerated as described in examples 4 and 5. Transformation frequencies were also increased over the control using the nuc1:LEC1 polynucleotide (see Example 8 above).

It is anticipated that the regenerated plants will then be capable of producing de novo embryos from LEC1 expressing nucellar cells. This is complemented by pollinating the ears to promote normal central cell fertilization and endosperm development. In another variation of this scheme, nuc1:LEC1 transformations could be done using a FIE-null genetic background which would promote both de novo embryo development and endosperm development without fertilization (see Ohad *et al.* 1999 The Plant Cell 11:407-415; also pending US application Serial No. 60/151575 filed August 31, 1999). Upon microscopic examination of the developing embryos it will be apparent that apomixis has occurred by the presence of embryos budding off the nucellus. In yet another variation of this scheme the LEC1 polynucleotide could be delivered as described above into a homozygous zygotic-embryo-lethal genotyp. Only the adventive embryos produced from somatic nucellus tissue would develop in the seed.

# **EXAMPLE 11**

# **Expression of Chimeric Genes in Microbial Cells**

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The cDNAs encoding the instant transcription factors can be inserted into the T7 *E. coli* expression vector pBT430. This vector is a derivative of pET-3a (Rosenberg *et al.* (1987) *Gene 56*:125-135) which employs the bacteriophage T7 RNA polymerase/T7 promoter system. Plasmid pBT430 was constructed by first destroying the EcoR I and Hind III sites in pET-3a at their original positions. An oligonucleotide adaptor containing EcoR I and Hind III sites was inserted at the BamH I site of pET-3a. This created pET-3aM with additional unique cloning sites for insertion of genes into the expression vector. Then, the Nde I site at the position of translation initiation was converted to an Nco I site using oligonucleotide-directed mutagenesis. The DNA sequence of pET-3aM in this region, 5'-CATATGG, was converted to 5'-CCCATGG in pBT430.

Plasmid DNA containing a cDNA may be appropriately digested to release a nucleic acid fragment encoding the protein. This fragment may then be purified on a 1% NuSieve GTG™ low melting agarose gel (FMC). Buffer and agarose contain 10 µg/ml ethidium bromide for visualization of the DNA fragment. The fragment can then be purified from the agarose gel by digestion with GELase™ (Epicentre Technologies) according to the manufacturer's instructions, ethanol precipitated, dried and resuspended in 20 µL of water. Appropriate oligonucleotide adapters may be ligated to the fragment using T4 DNA ligase (New England Biolabs, Beverly, MA). The fragment containing the ligated adapters can be purified from the excess adapters using low melting agarose as described above. The vector pBT430 is digested, dephosphorylated with alkaline phosphatase (NEB) and deproteinized with phenol/chloroform as described above. The prepared vector pBT430 and fragment can then be ligated at 16°C for 15 hours followed by transformation into DH5 electrocompetent cells (GIBCO BRL). Transformants can be selected on agar plates containing LB media and 100 μg/mL ampicillin. Transformants containing the polynucleotide encoding the

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transcription factor are then screened for the correct orientation with respect to the T7 promoter by restriction enzyme analysis.

For high level expression, a plasmid clone with the cDNA insert in the correct orientation relative to the T7 promoter can be transformed into  $E.\ coli$  strain BL21(DE3) (Studier  $et\ al.\ (1986)\ J.\ Mol.\ Biol.\ 189:113-130)$ . Cultures are grown in LB medium containing ampicillin (100 mg/L) at 25°C. At an optical density at 600 nm of approximately 1, IPTG (isopropylthio- $\beta$ -galactoside, the inducer) can be added to a final concentration of 0.4 mM and incubation can be continued for 3 hours at 25°C. Cells are then harvested by centrifugation and resuspended in 50  $\mu$ L of 50 mM Tris-HCI at pH 8.0 containing 0.1 mM DTT and 0.2 mM phenyl methylsulfonyl fluoride. A small amount of 1 mm glass beads can be added and the mixture sonicated 3 times for about 5 seconds each time with a microprobe sonicator. The mixture is centrifuged and the protein concentration of the supernatant determined. One  $\mu$ g of protein from the soluble fraction of the culture can be separated by SDS-polyacrylamide gel electrophoresis. Gels can be observed for protein bands migrating at the expected molecular weight.

# EXAMPLE 12 Evaluating Compounds for Their Ability to Inhibit the Activity of Plant Transcription Factors

The transcription factors described herein may be produced using any number of methods known to those skilled in the art. Such methods include, but are not limited to, expression in bacteria as described in Example 6, or expression in eukaryotic cell culture, *in planta*, and using viral expression systems in suitably infected organisms or cell lines. The instant transcription factors may be expressed either as mature forms of the proteins as observed *in vivo* or as fusion proteins by covalent attachment to a variety of enzymes, proteins or affinity tags. Common fusion protein partners include glutathione S-transferase ("GST"), thioredoxin ("Trx"), maltose binding protein, and C- and/or N-terminal hexahistidine polypeptide ("(His)<sub>6</sub>"). The fusion proteins may be engineered with a protease recognition site at the fusion point so that fusion partners can be separated by protease digestion to yield intact mature enzyme. Examples of such

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proteases include thrombin, enterokinase and factor Xa. However, any protease can be used which specifically cleaves the peptide connecting the fusion protein and the enzyme.

Purification of the instant transcription factors, if desired, may utilize any number of separation technologies familiar to those skilled in the art of protein purification. Examples of such methods include, but are not limited to, homogenization, filtration, centrifugation, heat denaturation, ammonium sulfate precipitation, desalting, pH precipitation, ion exchange chromatography, hydrophobic interaction chromatography and affinity chromatography, wherein the affinity ligand represents a substrate, substrate analog or inhibitor. When the transcription factors are expressed as fusion proteins, the purification protocol may include the use of an affinity resin which is specific for the fusion protein tag attached to the expressed enzyme or an affinity resin containing ligands which are specific for the enzyme. For example, a transcription factor may be expressed as a fusion protein coupled to the C-terminus of thioredoxin. In addition, a (His)<sub>6</sub> peptide may be engineered into the N-terminus of the fused thioredoxin moiety to afford additional opportunities for affinity purification. Other suitable affinity resins could be synthesized by linking the appropriate ligands to any suitable resin such as Sepharose-4B. In an alternate embodiment, a thioredoxin fusion protein may be eluted using dithiothreitol; however, elution may be accomplished using other reagents which interact to displace the thioredoxin from the resin. These reagents include  $\beta$ -mercaptoethanol or other reduced thiol. The eluted fusion protein may be subjected to further purification by traditional means as stated above, if desired. Proteolytic cleavage of the thioredoxin fusion protein and the enzyme may be accomplished after the fusion protein is purified or while the protein is still bound to the ThioBond™ affinity resin or other resin.

Crude, partially purified or purified enzyme, either alone or as a fusion protein, may be utilized in assays for the evaluation of compounds for their ability to inhibit enzymatic activition of the transcription factors disclosed herein. Assays may be conducted under well-known experimental conditions that permit optimal enzymatic activity.

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## Exampl 13

LEC1 xpression resulted in increased growth rat s, which could b us d as a screening criterion for positive selection of transformants.

Using two promoters of increasing strength to drive LEC1 expression in maize, it appeared that LEC1 stimulated callus growth over control treatments and the stronger promoter driving LEC1 resulted in faster growth than with the low-level promoter. For example, an experiment was performed to compare the In2 and nos promoters. As noted above, based on our experience with these two promoters driving other genes, the In2 promoter (in the absence of an inducer other than auxin from the medium) would drive expression at very low levels. The nos promoter has been shown to drive moderately-low levels of transgene expression (approximately 10- to 30-fold lower than the maize ubiquitin promoter, but still stronger than In2 under the culture conditions used in this experiment). One control treatment was used in this experiment, the UBI:PAT~GFPmo:pinII construct by itself (with no LEC1). Hi-II immature embryos were bombarded as previously described, and transgenic, growing events were scored at 3 and 6 weeks. The control treatment resulted in a transformation frequency of 0.8%. The In2:LEC1 and nos:LEC1 treatments resulted in transformation frequencies of 26.5 and 40.7%, respectively.

Within these treatments there was also an increase in the overall frequency of large, rapidly growing calli, relative to the control treatment. For this data, the fresh weight of transformed calli were recorded 2 months after bombardment. Assuming that all the transgenic events started as single transformed cells within a few days after bombardment, these weights represent the relative growth rate of these transformants during this period (all tissue was sub-cultured and weighed for each transformant; mean weights and standard deviations were calculated for each treatment). For the control treatment, the mean transformant weight after two months was 37 +/- 15 mg (n=6). For the ln2:LEC1 and nos:LEC1 treatments, the mean transformant weights were 126 +/- 106 and 441 +/- 430 mg, respectively. If the control treatment was set at a relative growth value of 1.0, this means that transformants in the ln2:LEC1 and nos:LEC1 treatments grew 3.4 and

12-fold faster than the control. From this data, it appears that increasing LEC1 expression resulted in a concomitant increase in callus growth rate.

# Example 14

The use of LEC1 polynucleotide as a positive selection system for wheat transformation and for improving the regeneration capacity of wheat tissues

# Method

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#### **Plant Material**

Seeds of wheat Hybrinova lines NH535 and BO 014 were sown into soil in plug trays for vernalisation at 6°C for eight weeks. Vernalized seedlings were transferred in 8" pots and grown in a controlled environment room. The growth conditions used were; 1) soil composition: 75% L&P fine-grade peat, 12% screened sterilized loam, 10% 6 mm screened, lime-free grit, 3% medium grade vermiculite, 3.5 kg Osmocote per m³ soil (slow-release fertiliser, 15-11-13 NPK plus micronutrients), 0.5 kg PG mix per m³ (14-16-18 NPK granular fertiliser plus micronutrients, 2) 16 h photoperiod (400W sodium lamps providing irradiance of ca. 750 µE s⁻¹ m⁻²), 18 to 20°C day and 14 to 16°C night temperature, 50 to 70% relative air humidity and 3) pest control: sulphur spray every 4 to 6 weeks and biological control of thrips using *Amblyseius caliginosus* (Novartis BCM Ltd, UK).

# Isolation of Explants and Culture Initiation

Two sources of primary explants were used; scutellar and inflorescence tissues. For scutella, early-medium milk stage grains containing immature translucent embryos were harvested and surface-sterilized in 70% ethanol for 5 min and 0.5% hypochlorite solution for 15-30 min. For inflorescences, tillers containing 0.5-1.0 cm inflorescences were harvested by cutting below the inflorescence-bearing node (the second node of a tiller). The tillers were trimmed to approximately 8-10 cm length and surface-sterilized as above with the upper end sealed with Nescofilm (Bando Chemical Ind. Ltd, Japan).

Under aseptic conditions, embryos of approximately 0.5-1.0 mm length were isolated and the embryo axis removed. Inflorescences were dissected from the tillers and cut into approximately 1 mm pieces. Thirty scutella or 1 mm

inflorescence explants were placed in the center (18 mm target circle) of a 90 mm Petri dish containing MD0.5 or L7D2 culture medium. Embryos were placed with the embryo-axis side in contact with the medium exposing the scutellum to bombardment whereas inflorescence pieces were placed randomly. Cultures were incubated at 25±°C in darkness for approximately 24 h before bombardment. After bombardment, explants from each bombarded plate were spread across three plates for callus induction.

#### **Culture Media**

The standard callus induction medium for scutellar tissues (MD0.5) consisted of solidified (0.5% Agargel, Sigma A3301) modified MS medium supplemented with 9% sucrose, 10 mg l<sup>-1</sup> AgNO<sub>3</sub> and 0.5 mg l<sup>-1</sup> 2,4-D (Rasco-Gaunt *et al.*, 1999). Inflorescence tissues were cultured on L7D2 which consisted of solidified (0.5% Agargel) L3 medium supplemented with 9% maltose and 2 mg l<sup>-1</sup> 2,4-D (Rasco-Gaunt and Barcelo, 1999). The basal shoot induction medium, RZ contained L salts, vitamins and inositol, 3% w/v maltose, 0.1 mg l<sup>-1</sup> 2,4-D and 5 mg l<sup>-1</sup> zeatin (Rasco-Gaunt and Barcelo, 1999). Regenerated plantlets were maintained in RO medium with the same composition as RZ, but without 2,4-D and zeatin.

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## **DNA Precipitation Procedure and Particle Bombardment**

Submicron gold particles (0.6  $\mu$ m Micron Gold, Bio-Rad) were coated with a plasmid containing the maize In-2:LEC1 construct following the protocol modified from the original Bio-Rad procedure (Barcelo and Lazzeri, 1995). The standard precipitation mixture consisted of 1 mg of gold particles in 50  $\mu$ l SDW, 50  $\mu$ l of 2.5 M calcium chloride, 20  $\mu$ l of 100 mM spermidine free base and 5  $\mu$ l DNA (concentration 1  $\mu$ g  $\mu$ l<sup>-1</sup>). After combining the components, the mixture was vortexed and the supernatant discarded. The particles were then washed with 150  $\mu$ l absolute ethanol and finally resuspended in 85  $\mu$ l absolute ethanol. The DNA/gold ethanol solution was kept on ice to minimise thanol evaporation. For each bombardment, 5  $\mu$ l of DNA/gold ethanol solution (ca. 60  $\mu$ g gold) was loaded onto the macrocarri  $\tau$ .

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Particle bombardments were carried out using DuPont PDS 1000/He gun with a target distance of 5.5 cm from the stopping plate at 650 psi acceleration pressure and 28 in. Hg chamber vacuum pressure.

# 5 Regeneration of Transformants

For callus induction, bombarded explants were distributed over the surface of the medium in the original dish and two other dishes and cultured at 25±1°C in darkness for three weeks. Development of somatic embryos from each callus were periodically recorded. For shoot induction, calluses were transferred to RZ medium and cultured under 12 h light (250 µE s<sup>-1</sup> m<sup>-2</sup>, from cool white fluorescent tubes) at 25±1°C for three weeks for two rounds. All plants regenerating from the same callus were noted. Plants growing more vigorously than the control cultures were potted in soil after 6-9 weeks in R0 medium. The plantlets were acclimatized in a propagator for 1-2 weeks. Thereafter, the plants were grown to maturity under growth conditions described above.

# DNA Isolation from Callus and Leaf Tissues

Genomic DNA was extracted from calluses or leaves using a modification of the CTAB (cetyltriethylammonium bromide, Sigma H5882) method described by Stacey and Isaac (1994). Approximately 100-200 mg of frozen tissues was ground into powder in liquid nitrogen and homogenised in 1 ml of CTAB extraction buffer (2% CTAB, 0.02 M EDTA, 0.1 M Tris-Cl pH 8, 1.4 M NaCl, 25 mM DTT) for 30 min at 65°C. Homogenised samples were allowed to cool at room temperature for 15 min before a single protein extraction with approximately 1 ml 24:1 v/v chloroform:octanol was done. Samples were centrifuged for 7 min at 13,000 rpm and the upper layer of supernatant collected using wide-mouthed pipette tips. DNA was precipitated from the supernatant by incubation in 95% ethanol on ice for 1 h. DNA threads were spooled onto a glass hook, washed in 75% ethanol containing 0.2 M sodium acetate for 10 min, air-dried for 5 min and resuspended in TE buffer. Five µl RNAse A was added to the samples and incubated at 37°C for 1 h.

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For quantification of genomic DNA, gel electrophoresis was performed using an 0.8% agarose gel in 1x TBE buffer. One microlitre of the samples were fractionated alongside 200, 400, 600 and 800 ng  $\mu$ l<sup>-1</sup>  $\lambda$  uncut DNA markers.

# 5 Polymerase chain reaction (PCR) analysis

The presence of the maize LEC1 polynucleotide was analyzed by PCR using 100-200 ng template DNA in a 30 ml PCR reaction mixture containing 1X concentration enzyme buffer (10 mM Tris-HCl pH 8.8, 1.5 mM magnesium chloride, 50 mM potassium chloride, 0.1% Triton X-100), 200 µM dNTPs, 0.3 µM primers and 0.022 U TaqDNA polymerase (Boehringer Mannheim). Thermocycling conditions were as follows (30 cycles): denaturation at 95°C for 30 s, annealing at 55°C for 1 min and extension at 72°C for 1 min. Primer sequences (F=forward; R=reverse) used were: (F) 5'-CGC TCT GTC ACC TGT TGT ACT C-3' (R) 5'-CGT GAT GAA GCT GAT GTA CTC C-3'. Approximate PCR product length was 620 bp.

#### Results

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Following experiments to show increased regeneration capacity and improvement of maize transformation frequencies by expression of maize LEC1, the polynucleotide was then introduced into wheat scutellar and inflorescence explants, driven by the maize In2 promoter. Both tissues are used for wheat transformation.

Subsequent to the induction of somatic embryos from both tissues after three weeks on a 2,4-D-containing induction medium, calluses were assessed prior to transfer onto shoot regeneration medium. Callus assessment involved: a) scoring calluses as 0 = non-embryogenic callus, 1 = 25%, 2 = 25–50%, 3 = 50-75%, 4 = 75-100% of callus surface embryogenic, and b) determining embryogenic capacity expressed in percentage as the number of embryogenic calluses / total number of calluses (scutella or inflorescence) assessed.

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#### Scut Ilum calluses

M an callus scor s of control (1.4  $\pm$  0.3) and LEC-bombarded (1.4  $\pm$  0.3) scut llar tissu s of wheat lin NH535 w re not significantly different. However,

callus score of LEC-bombarded scutella  $(1.5\pm0.5)$  of wheat line BO 014 was significantly improved in comparison with the control  $(0.5\pm0.2)$ . Similarly, embryogenic capacity of line NH535 did not seem to be affected by LEC treatment (LEC calluses =  $84.3\pm9.3\%$ , control calluses = 90%). However, LEC-bombarded line BO 014 had clear increases in the embryogenic callus frequency (LEC calluses =  $75.4\pm16.8\%$ , control calluses =  $36.7\pm4.7\%$ ). Examining the quality of embryogenic calluses formed, both lines showed significant increases in the number of 'good' calluses produced i.e. calluses with scores of 3 or 4. 'Good' quality callus of line NH535 increased from 5 to 22.3 % whilst line BO 014 increased from 0 to 23.6%. These calluses were generally large, rapidly growing and vigorous.

After callus induction and assessment, calluses were transferred onto shoot induction media for a total of six weeks. Shoot regeneration of calluses was determined, as the number of shoot regenerating calluses / total number of calluses assessed (expressed as percentages). Shoot regeneration of cultures corresponded with the quality and quantity of somatic embryos produced in each callus. Hence, regeneration of LEC-bombarded (71.9  $\pm$  12.1) and control (70  $\pm$  14) callus tissues of line NH535 were not significantly different. However, regeneration of LEC-bombarded calluses (52.3  $\pm$  26.9) of wheat line BO 014 was significantly improved in comparison with the control (15.6  $\pm$  6.3).

To test the suitability of LEC as a positive selection system for wheat, sample tissues from vigorous calluses were analyzed for the presence of LEC sequences. Forty-one BO 014 and 13 NH535 calluses were selected. The results were that 10/41 BO 014 calluses and 8/13 NH535 were PCR positive. Thus, transformed lines were identified without selection at frequencies of 24.4% and 61.5%. These frequencies are comparable with conventional selection systems such as herbicide- and antibiotic-resistance systems (e.g. *bar*, *npt*II) applied in wheat transformation where selection 'escape' frequencies are commonly high and variable. Furthermore, we know of no other report of wheat transformation by morphological selection in the absence of a selection agent.

Callus transformation frequencies were 5.6% and 4.4% in NH535 and BO 014 lines, respectively. Transgenic plants were also recovered from LEC-positive callus lines. Seven non-clonal plants were recovered from NH535 and six non-

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clonal plants were recovered from BO 014 to give plant transformation frequencies of 3.9 and 3.3%, respectively, based on the number of explants bombarded.

#### 5 Inflorescence calluses

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The use of inflorescence tissues as explants for the tissue culture and transformation of wheat offer several advantages over seed explants such as scutella (Rasco-Gaunt and Barcelo, 1999). However, responses of these tissues to culture are highly genotype-dependent and calluses are often non-regenerative despite having a 'highly-embryogenic' appearance. Hence, LEC was introduced into inflorescence tissues to see whether regeneration could be enhanced on a poorly regenerating line such BO 014.

Using line BO 014, shoot regeneration was significantly improved in LEC-bombarded tissues, although callus quality appeared similar in bombarded and control tissues. Whereas no shoot was regenerated from control cultures, eight plants were regenerated from LEC-bombarded calluses to give a shoot regeneration frequency of 10.7%.

Tables
Summary of tissue culture data

Wheat line	Treatment	Mean callus score (0-4)	Embryo. Capacity (%)	% Good calluses (score 3 & 4)	Regeneration (%)
NH 535	Control	$1.4 \pm 0.3$	90.0	5	70 ± 14
	LEC1	$1.4 \pm 0.3$	84.3 ± 9.3	22.3	71.9 ± 12.1
BO 014	Control	$0.5 \pm 0.2$	36.7 ± 4.7	0	15.6 ± 6.3
	LEC1	1.5 ± 0.5	75.4 ± 16.8	23.6	52.3 ± 26.9

Type of callus produced per treatment per lin

Callus Score	NH:	535	BO014	
Callus Ocore	Control	LEC1	Control	LEC1
	10	15.7	63.2	25
1	45	43.3	26.3	30
	40	28.7	10.5	21.4
2	5	11.2	0	17.9
<del></del>	0	1.1	0	5.7

5 Transformation Frequency

Wheat line	Callus line	Plant line (non-clonal)	
NH 535	10/180 (5.6%)	7/180 (3.9%)	
BO 014	8/180 (4.4%)	6/180 (3.3%)	

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# EXAMPLE 15 Expression of Chimeric Genes in Dicot Cells

The LEC1 polynucleotide can also be used to improve the transformation of soybean. To demonstrate this the construct consisting of the In2 promoter and LEC1 coding region were introduced into embryogenic suspension cultures of soybean by particle bombardment using essentially the methods described in Parrott, W.A., L.M. Hoffman, D.F. Hildebrand, E.G. Williams, and G.B. Collins, (1989) Recovery of primary transformants of soybean, Plant Cell Rep. 7:615-617. This method with modifications is described below.

Seed was removed from pods when the cotyledons were between 3 and 5 mm in length. The seeds were sterilized in a Chlorox solution (0.5%) for 15 minutes after which time the seeds were rinsed with sterile distilled water. The immature cotyledons were excised by first cutting away the portion of the seed that contains the embryo axis. The cotyledons were then removed from the seed coat by gently pushing the distal end of the seed with the blunt end of the scalpel blade. The cotyledons were then placed (flat side up) SB1 initiation medium (MS salts, B5 vitamins, 20 mg/L 2,4-D, 31.5 g/l sucrose, 8 g/L TC Agar, pH 5.8). The Petri plates were incubated in the light (16 hr day; 75-80 µE) at 26° C. After 4

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weeks of incubation the cotyledons were transferred to fresh SB1 medium. After an additional two weeks, globular stage somatic mbryos that exhibit proliferative areas were excised and transferred to FN Lite liquid medium (Samoylov, V.M., D.M. Tucker, and W.A. Parrott (1998) Soybean [Glycine max (L.) Merrill] embryogenic cultures: the role of sucrose and total nitrogen content on proliferation. In Vitro Cell Dev. Biol.- Plant 34:8-13). About 10 to 12 small clusters of somatic embryos were placed in 250 ml flasks containing 35 ml of SB172 medium. The soybean embryogenic suspension cultures were maintained in 35 mL liquid media on a rotary shaker, 150 rpm, at 26°C with florescent lights (20  $\mu E$ ) on a 16:8 hour day/night schedule. Cultures were sub-cultured every two weeks by inoculating approximately 35 mg of tissue into 35 mL of liquid medium.

Soybean embryogenic suspension cultures were then be transformed using particle gun bombardment (Klein *et al.* (1987) *Nature* (London) *327*:70, U.S. Patent No. 4,945,050). A BioRad Biolistic™ PDS1000/HE instrument was used for these transformations. A selectable marker gene which was used to facilitate soybean transformation is a chimeric gene composed of the 35S promoter from Cauliflower Mosaic Virus (Odell *et al.* (1985) *Nature 313*:810-812), the hygromycin phosphotransferase gene from plasmid pJR225 (from *E. coli*; Gritz *et al.* (1983) *Gene 25*:179-188) and the 3' region of the nopaline synthase gene from the T-DNA of the Ti plasmid of *Agrobacterium tumefaciens*.

To 50  $\mu$ L of a 60 mg/mL 1  $\mu$ m gold particle suspension was added (in order): 5  $\mu$ L DNA (1  $\mu$ g/ $\mu$ L), 20  $\mu$ l spermidine (0.1 M), and 50  $\mu$ L CaCl<sub>2</sub> (2.5 M). The particle preparation was agitated for three minutes, spun in a microfuge for 10 seconds and the supernatant removed. The DNA-coated particles were washed once in 400  $\mu$ L 70% ethanol and resuspended in 40  $\mu$ L of anhydrous ethanol. The DNA/particle suspension was sonicated three times for one second each. Five  $\mu$ L of the DNA-coated gold particles were then loaded on each macro carrier disk.

Approximately 300-400 mg of a two-week-old suspension culture was placed in an empty 60x15 mm petri dish and the residual liquid removed from the tissue with a pipette. Membrane rupture pressure was set at 1100 psi and the chamber was evacuated to a vacuum of 28 inches mercury. The tissue was placed approximately 8 cm away from the retaining screen, and was bombarded three

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times. Following bombardment, the tissue was divided in half and placed back into 35 ml of FN Lite medium.

Five to seven days after bombardment, the liquid medium was exchanged with fresh medium. Eleven days post bombardment the medium was exchanged with fresh medium containing 50 mg/mL hygromycin. This selective medium was refreshed weekly. Seven to eight weeks post bombardment, green, transformed tissue was observed growing from untransformed, necrotic embryogenic clusters. Isolated green tissue was removed and inoculated into individual flasks to generate new, clonally propagated, transformed embryogenic suspension cultures. Each new line was treated as an independent transformation event. These suspensions were then subcultured and maintained as clusters of immature embryos, or tissue was regenerated into whole plants by maturation and germination of individual embryos.

Two different genotypes were used in these experiments: 92B91 and 93B82. Samples of tissue were either bombarded with the hygromycin resistance gene alone or with a 1:1 mixture of the hygromycin resistance gene and the LEC1 construct. Embryogenic cultures generated from 92B91 generally produce transformation events while cultures from 93B82 are much more difficult to transform. For transformation experiments with 92B91, approximately equal numbers of transformants were recovered from bombardments conducted with the LEC1 polynucleotide as without it. Twenty-nine transformants were recovered from the LEC1-treated 92B91 tissue while 27 transformants were recovered from tissue receiving only the hygromycin resistance gene. In contrast, transformants were only recovered from 93B82 tissue receiving the LEC1 polynucleotide (none were recovered from the treatment using only the hygromycin resistance gene). Five transformants were recovered from 93B82 tissue bombarded with the LEC1 polynucleotide while no transformants were recovered from tissue treated with only the hygromycin resistance gene. These results show that the LEC1 polynucleotide will be very valuable for gene transfer to recalcitrant genotypes of soybean.

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# **WHAT IS CLAIMED IS**

1. An isolated nucleic acid comprising a member selected from the group consisting of: 5 (a) a polynucleotide which encodes a polypeptide of SEQ ID NO: 2, 8, 10, 12, 14, 16, 18, 20, or 22: a polynucleotide amplified from a plant nucleic acid library using (b) the primers of SEQ ID NOS: 3 and 4, 5 and 6, 9 and 10, or 11 and 12 or primers determined by using Vector nti Suite, InforMax 10 Version 5. (c) a polynucleotide comprising at least 20 contiguous bases of SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21; (d) a polynucleotide having a plant HAP3-type ccaat-box transcriptional activator, wherein the polynucleotide is from a plant other than 15 Arabidopsis: a polynucleotide having at least 60% sequence identity to SEQ (e) ID NO: 1, 9, 11, 13, 17, or 21 or 65% sequence identity to SEQ ID NO: 15 or 19 or 70% sequence identity to SEQ ID NO: 7, wherein the % sequence identity is based on the entire 20 sequence and is determined by GAP analysis using Gap Weight of 50 and Length Weight of 3: a polynucleotide comprising at least 25 nucleotides in length (f) which hybridizes under high stringency conditions to a polynucleotide having the sequence set forth in SEQ ID NO: 25 1, 7, 9, 11, 13, 15, 17, 19, or 21; a polynucleotide containing a conserved region coding the (g) polypeptide of SEQ ID NO: 23, wherein the polynucleotide is from a plant other than Arabidopsis; (h) a polynucleotide having the sequence set forth in SEQ ID NO: 1, 30 7, 9, 11, 13, 15, 17, 19, or 21; and (i) a polynucl otide complementary to a polynucleotide of (a) through (h).

- The isolated nucleic acid of claim 1, wherein the transcriptional activator in
   (d) is from corn, soyb an, wheat, rice, Veronia, or Argemone.
- The isolated nucleic acid of claim 1 wherein the polynucleotide in (f) is a
   functional equivalent.
  - 4. A vector comprising at least one nucleic acid of claim 1.
- 5. An expression cassette comprising at least one nucleic acid of claim 1 operably linked to a promoter, wherein the nucleic acid is in sense or antisense orientation.
  - 6. The expression cassette of claim 5, wherein the nucleic acid is operably linked in antisense orientation to the promoter.
- 7. A host cell containing at least one expression cassette of claim 5.
  - 8. The host cell of claim 7 that is a plant cell.
- 20 9. A transgenic plant comprising at least one expression cassette of claim 5.
  - 10. The transgenic plant of claim 9, wherein the plant is corn, soybean, sorghum, wheat, rice, alfalfa, sunflower, canola or cotton.
- 25 11. A seed from the transgenic plant of claim 9.
  - The seed from the transgenic plant of claim 10.
- 13. An isolated protein comprising a member selected from the group30 consisting of:
  - (a) a polypeptide comprising at least 25 contiguous amino acids of SEQID NO: 2, 8, 10, 12, 14, 16, 18, 20, or 22;

- (b) a polypeptide which is a LEC1 transcriptional activator containing the conserved motifs of SEQ ID NO: 23, wherein the polypeptide is from a plant other than *Arabidopsis*;
- (c) a polypeptide comprising at least 60% sequence identity to SEQ ID
   NO: 2, 12, 14, 16, 20, or 22 or 70 % sequence identity to SEQ ID NO:
   8, 10, or 18, wherein the % sequence identity is based on the entire sequence and is determined by GAP analysis using Gap Weight of 12 and Length Weight of 4;
- (d) a polypeptide encoded by a nucleic acid of claim 1;
- 10 (e) a polypeptide encoded by a nucleic acid of SEQ ID NO: 1, 7, 9, 11, 13, 15, 17, 19, or 21; and
  - (f) a polypeptide having the sequence set forth in SEQ ID NO: 2, 8, 10, 12, 14, 16, 18, 20, or 22.
- 15 14. The protein of claim 13, wherein the transcriptional activator of (b) is a LEC1 protein from corn, soybeans, wheat, rice, *Veronia*, or *Argemone*.
  - 15. A ribonucleic acid sequence encoding a protein of claim 13.
- 20 16. A method for modulating LEC1 activity in a plant, comprising:
  - (a) transforming a plant cell with at least one expression cassette of claim 5 and
- (b) regenerating a transformed plant that expresses the at least one nucleic acid in an amount sufficient to modulate LEC1 activity in the plant.
  - 17. The method of claim 16, wherein the plant is corn, soybean, sorghum, wheat, rice, alfalfa, sunflower, canola or cotton.
- 30 18. The method of claim 16, wherein LEC1 activity is increased.
  - 19. The method of claim 16, wherein LEC1 activity is decreased.

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- 20. A method for transiently modulating the level of LEC1 activity in plant cells comprising introducing at least one nucleic acid of claim 1.
- 21. The method of claim 20 wherein the at least one nucleic acid is a ribonucleic acid.
  - A method for transiently modulating the level of LEC1 activity in plant cells comprising introducing at least one polypeptide of claim 13.
- 10 23. A method for enhancing tissue culture response in a plant cell comprising introducing into the plant cell at least one LEC1 polypeptide or at least one LEC1 polypucleotide under conditions sufficient to enhance tissue culture response.
- 15 24. The method of claim 23 wherein the plant cell is transformed with at least one LEC1 polynucleotide.
- The method of claim 23 wherein at least one polynucleotide is operably linked to a promoter driving expression in the plant cell and growing the plant cell.
  - 26. The method of claim 23 wherein the plant cell is a recalcitrant cell.
  - 27. The method of claim 26 wherein the plant cell is an inbred plant cell.
  - 28. A method for inducing somatic embryogenesis in a plant cell comprising introducing into a responsive plant cell at least one LEC1 polypeptide or at least one LEC1 polynucleotide, wherein the responsive plant cell is transformed and grown under conditions sufficient to stimulate the production of a transformed embryo and wherein the plant cell is other than an *Arabidopsis* cell.

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- 29. The method of claim 28 wherein the plant cell is transformed with at least one LEC1 polynucleotide.
- 30. The method of claim 29 wherein at least one polynucleotide is operably linked to a promoter driving expression in the plant cell.
  - 31. The method of claim 28 further comprising growing the transformed embryo under plant growing conditions to produce a regenerated plant.
- The method of claim 28 wherein the plant cell is from corn, soybean, sorghum, wheat, rice, alfalfa, sunflower, canola or cotton.
  - A plant produced by the method of claim 28.
- 15 34. A method for positive selection of a transformed cell comprising introducing into a responsive plant cell at least one LEC1 polynucleotide or at least one LEC1 polypeptide and growing the transformed plant cell, wherein the responsive plant cell is transformed and grown under conditions sufficient to induce embryogenesis to provide a positive selection means.

- 35. The method of claim 34 wherein the plant cell is transformed with at least one polynucleotide.
- The method of claim 35 wherein at least one polynucleotide is operably linked to a promoter driving expression in a plant.
  - 37. The method of claim 34 further comprising altering media components to favor the growth of transformed plant cells.
- 30 38. The method of claim 34 wherein the media components are altered to reduce somatic embryogenesis in non-transformed cells.
  - The method of claim 36 wherein the polynucleotide is excised.

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- 40. The method of claim 39 wherein the polynucleotide is flanked by FRT sequences to allow FLP mediated excision of the polynucleotide.
- A method for inducing apomixis in a plant cell comprising introducing into a responsive plant cell at least one LEC1 polypeptide or at least one LEC1 polynucleotide and growing the plant cell, wherein the introducing and growing is done under conditions sufficient to produce a transformed somatic embryo.
- 10 42. The method of claim 41 wherein the plant cell is transformed with at least one LEC1 polynucleotide.
  - 43. The method of claim 44 wherein the at least one polynucleotide is operably linked to a promoter driving expression in a plant cell
  - The method of claim 41 further comprising suppressing in the plant cell the expression of an FIE polycomb polynucleotide using sense or antisense methods.
- The method of claim 41 further comprising growing the embryo under plant growing conditions to produce a regenerated plant.
  - 46. The method of claim 42 wherein the promoter is an inducible promoter.
- 25 47. The method of claim 42 wherein the at least one polynucleotide is expressed in integument or nucellus tissue.
  - 48. A plant produced by the method of claim 41.
- 30 49. The plant of claim 48, wherein the plant is male sterile.

50. A method for increasing transformation efficiency comprising introducing at least one LEC1 polypeptide or at least one LEC1 polynucleotide into a responsive plant cell under conditions sufficient to increase transformation efficiency.

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- 51. The method of claim 50 wherein the transformation is conducted in medium that retards growth of somatic embryo growth in non-transformed plants.
- 52. The method of claim 51 wherein transformation is conducted with reduced levels of auxin or no auxin.
  - 53. The method of claim 50 wherein the plant cell is transformed with at least one LEC1 polynucleotide.
- 15 54. The method of claim 53 wherein the at least one polynucleotide is operably linked to a promoter driving expression in a plant cell
  - 55. The method of claim 50 wherein the plant cell is a recalcitrant cell.
- 20 56. The method of claim 55 wherein the plant cell is an inbred cell.
  - 57. A method for increasing recovery of regenerated plants comprising introducing into a responsive plant cell at least one LEC1 polypeptide or at least one LEC1 polynucleotide and growing the plant cell, wherein the introducing and growing are under conditions sufficient to produce a regenerated plant.
  - 58. The method of claim 57 wherein the plant cell is transformed with at least one LEC1 polynucleotide.
  - 59. The method of claim 58 wherein the at least one polynucleotide is operably linked to a promoter driving expression in a plant cell

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- 60. The method of claim 57 wherein the plant cell is a recalcitrant cell.
- 61. The method of claim 60 wherein the plant cell is an inbred plant cell.

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FIG.1

REQDQYMPIANVIRIMRKTLPSHAKISDDAKETIQE REQDQYMPIANVIRIMRRILPAHAKISDDAKETIQE REQDRFMPIANVIRIMRKILPPHAKISDDAKETIQE REQDRFMPIANVIRIMRKILPPHAKISDDAKETIQE REQDRFMPIANVIRIMRRVLPTHAKISDDAKETIQE REQDRFMPIANVIRIMRKILPPHAKISDDAKETIQE REQDRLMPIANVIRIMRKALPAHAKISDDAKETIQE REQDRLMPIANVIRIMRRALPAHAKISDDAKETIQE REQDRLMPVANVSRIMRQVLPPYAKISDDAKEVIQE ARABIDDPSIS SDYBEAN SDYBEAN MAIZE A. MEXICANA VERNDNIA WHEAT MAIZE MAIZE

SUBSTITUTE SHEET (RULE 26)

#### SEQUENCE LISTING

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ctc Leu	aag Lys 160	tcc Ser	cgc Arg	GJ À āāā	cca Pro	gtc Val 165	tcc Ser	gga Gly	gcc Ala	gcc Ala	atg Met 170	cta Leu	ccg Pro	cac His	cac His	590
cac His 175	cac His	cac His	cac His	gac Asp	atg Met 180	cag Gln	atg Met	cac His	gcc Ala	gcc Ala 185	atg Met	tac Tyr	G] À	gga Gly	acg Thr 190	638
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cac His	ccg Pro	cac His	ccg Pro	ttc Phe 275	Ala	tac Tyr	aag Lys	tag	ctag	ttc	gtac	gtcg	tt c	gact	tgagc	932
ggc	ggct	agc	tctc	ctgt	tt a	agtt	gtac	t gt cao	gatt	ctgt agaa	. ccc	ttca	atg	cttg	cgtatc aactta ccagtg aaaaaa	992 1052 1112 1172 1173

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CONTRACTOR OF CO

```
Arg Ile Met Arg Arg Val Leu Pro Ala His Ala Lys Ile Ser Asp Asp
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Ala Lys Glu Thr Ile Gln Glu Cys Val Ser Glu Tyr Ile Ser Phe Ile
Thr Gly Glu Ala Asn Glu Arg Cys Gln Arg Glu Gln Arg Lys Thr Ile
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                                    90
Thr Ala Glu Asp Val Leu Trp Ala Met Ser Arg Leu Gly Phe Asp Asp
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                                105
Tyr Val Glu Pro Leu Gly Ala Tyr Leu His Arg Tyr Arg Glu Phe Glu
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Gly Asp Ala Arg Gly Val Gly Leu Val Pro Gly Ala Ala Pro Ser Arg
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Gly Gly Asp His His Pro His Ser Met Ser Pro Ala Ala Met Leu Lys
Ser Arg Gly Pro Val Ser Gly Ala Ala Met Leu Pro His His His
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                                    170
His His Asp Met Gln Met His Ala Ala Met Tyr Gly Gly Thr Ala Val
                                185
 Pro Pro Pro Ala Gly Pro Pro His His Gly Gly Phe Leu Met Pro His
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 Pro Gln Gly Ser Ser His Tyr Leu Pro Tyr Ala Tyr Glu Pro Thr Tyr
 Gly Gly Glu His Ala Met Ala Ala Tyr Tyr Gly Gly Ala Ala Tyr Ala
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                    230
 Pro Gly Asn Gly Gly Ser Gly Asp Gly Ser Gly Ser Gly Gly Gly
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 Gly Ser Ala Ser His Thr Pro Gln Gly Ser Gly Gly Leu Glu His Pro
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                                 265
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 His Pro Phe Ala Tyr Lys
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					481)											
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												Met 1	Glu	Arg	Gly	
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							ggt									103
_	Gly	Gly	Gly	Gly		Gly	Gly	Gly	Phe		Gly	Tyr	Gln	Lys		
5					10					15					20	
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Pro	Lys	Ser	Asn		Ala	Gly	Met	Met	Leu	Ser	Glu	Leu	Ser	Asn	Asn	
				25					30					35		
aac	aac	aat	att	gac	gta	aac	tct	aca	tgt	act	gta	cga	gag	caa	gat	199
Asn	Asn	Asn	Ile	Asp	Val	Asn	Ser	Thr	Cys	Thr	Val	Arg	Glu	Gln	Asp	
			40					45					50			
cga	tac	atg	cca	att	gct	aat	gtg	atc	agg	atc	atg	cgt	aag	gta	ctt	247
							Val									
		55					60					65				
cct	act	cat	gcc	aag	atc	tct	gac	gat	gcc	aaa	gaa	act	atc	caa	gaa	295
			_	_			Asp	_	-		_				_	
	70					75					80					
+~+	at c	tca	<b>~</b> 22	tac	atc	agt	ttc	2 t c	202	3.at	~~~	~~~	22+	~a+	cat	343
							Phe									343
85				- ,	90					95				₽	100	
							aca									391
cys	GIN	Arg	GIU	105	Arg	гдг	Thr	тте	Thr 110	ATA	GIU	Asp	vaı	115	Trp	
				100					110					113		

The state of the s

gcg atg agc aaa cta ggg ntt gat gag tac att gaa cct cta act ctt 439 Ala Met Ser Lys Leu Gly Xaa Asp Glu Tyr Ile Glu Pro Leu Thr Leu 125 120 481 tac ctt caa cgt tat cgt gag ttt gaa ggt gna cgt tgg tca Tyr Leu Gln Arg Tyr Arg Glu Phe Glu Gly Xaa Arg Trp Ser 140 <210> 8 <211> 146 <212> PRT <213> Argemone mexicana <221> VARIANT <222> (1)...(146) <223> Xaa = Any Amino Acid Met Glu Arg Gly Gly Gly Gly Gly Ser Gly Gly Gly Phe His Gly <400> 8 10 Tyr Gln Lys Leu Pro Lys Ser Asn Ser Ala Gly Met Met Leu Ser Glu 25 20 Leu Ser Asn Asn Asn Asn Ile Asp Val Asn Ser Thr Cys Thr Val Arg Glu Gln Asp Arg Tyr Met Pro Ile Ala Asn Val Ile Arg Ile Met 55 Arg Lys Val Leu Pro Thr His Ala Lys Ile Ser Asp Asp Ala Lys Glu 75 70 Thr Ile Gln Glu Cys Val Ser Glu Tyr Ile Ser Phe Ile Thr Ser Glu 90 85 Ala Asn Asp Arg Cys Gln Arg Glu Gln Arg Lys Thr Ile Thr Ala Glu 110 105 Asp Val Leu Trp Ala Met Ser Lys Leu Gly Xaa Asp Glu Tyr Ile Glu 100 120 Pro Leu Thr Leu Tyr Leu Gln Arg Tyr Arg Glu Phe Glu Gly Xaa Arg 115 135 Trp Ser 145 <210> 9 <211> 942 <212> DNA <213> Glycine max <220> <221> CDS <222> (3)...(722) <400> 9 47 gc acg agc tct ctt ata atc aca cac aca cct acc tta ata gct atg Thr Ser Ser Leu Ile Ile Thr His Thr Pro Thr Leu Ile Ala Met 5 95 gaa act gga ggc ttt cac ggc tac cgc aag ctc ccc aac acc acc gct Glu Thr Gly Gly Phe His Gly Tyr Arg Lys Leu Pro Asn Thr Thr Ala 20

		aag Lys														143
		gat Asp 50														191
agg Arg	gag Glu 65	caa Gln	gac Asp	agg Arg	ttc Phe	atg Met 70	cca Pro	atc Ile	gcc Ala	aac Asn	gtg Val 75	att Ile	agg Arg	atc Ile	atg Met	239
cgc Arg 80	aag Lys	att Ile	ctc Leu	cct Pro	cca Pro 85	cac His	gca Ala	aaa Lys	atc Ile	tcg Ser 90	gac Asp	gat Asp	gca Ala	aaa Lys	gaa Glu 95	287
aca Thr	atc Ile	caa Gln	gag Glu	tgc Cys 100	gtg Val	tct Ser	gag Glu	tac Tyr	atc Ile 105	agc Ser	ttc Phe	atc Ile	aca Thr	ggt Gly 110	gag Glu	335
gcg Ala	aac Asn	gag Glu	cgt Arg 115	tgc Cys	cag Gln	agg Arg	gag Glu	cag Gln 120	cgg Arg	aag Lys	acc Thr	ata Ile	acc Thr 125	gca Ala	gag Glu	383
gac Asp	gtg Val	ctt Leu 130	tgg Trp	gcc Ala	atg Met	agc Ser	aag Lys 135	ctt Leu	gga Gly	ttc Phe	gac Asp	gac Asp 140	tac Tyr	atc Ile	gaa Glu	431
ccg Pro	ttg Leu 145	acc Thr	atg Met	tac Tyr	ctt Leu	cac His 150	cgc Arg	tac Tyr	cgt Arg	gaa Glu	ctt Leu 155	gag Glu	ggt Gly	gac Asp	cgc Arg	479
acc Thr 160	tct Ser	atg Met	agg Arg	ggt Gly	gaa Glu 165	cca Pro	ctc Leu	ej A aaa	aag Lys	agg Arg 170	act Thr	gtg Val	gaa Glu	tac Tyr	gcc Ala 175	527
acg Thr	ctt Leu	ggt Gly	gtt Val	gct Ala 180	act Thr	gct Ala	ttt Phe	gtc Val	cct Pro 185	cca Pro	ccc Pro	tat Tyr	cat His	cac His 190	cac His	575
aat Asn	GJÀ aàa	tac Tyr	ttt Phe 195	ggt Gly	gct Ala	gcc Ala	atg Met	ccc Pro 200	atg Met	ggg Gly	act Thr	tac Tyr	gtt Val 205	agg Arg	gaa Glu	623
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cac His	cat His 225	gct Ala	cgt Arg	gga Gly	atc Ile	tcc Ser 230	aat Asn	gct Ala	cat His	gaa Glu	cca Pro 235	aat Asn	gct Ala	cgc Arg	tcc Ser	719
ata Ile 240	taaa	aatta	ata t	aatt	atga	ic ta	ıggat	tcag	g aac	aaga	ictt	gatç	gatga	tt		772
aatt	aagg	etc t ggc t ca c	ggga	aggg	ga gt	tagt	atat	tcc	taat	cct	aact	atgt	.gc a	ttat	tttat taatt	832 892 942

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aca tot gga ato caa caa tog gat atg aag ota aag ota coa gaa atg 156
Thr Ser Gly Ile Gln Gln Ser Asp Met Lys Leu Lys Leu Pro Glu Met
20 25 30

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		gca aac gtg atc cgc a wla Asn Val Ile Arg 1 60	
		tc tct gat gat gcc a le Ser Asp Asp Ala 1 75	
	Ser Glu Tyr I	tt agc ttt gtc aca o le Ser Phe Val Thr ( 90	
	Arg Glu Gln A	gg aag acc atc aca g rg Lys Thr Ile Thr <i>I</i> 05	
		ga ttt gat gat tat a ly Phe Asp Asp Tyr I 125	
		gg gag ttt gat ggt g rg Glu Phe Asp Gly G 140	
		tg aag agg agt act t al Lys Arg Ser Thr S 155	
		tg cct gct ttt cat a al Pro Ala Phe His M 170	
	Gly Pro Ala S	gc att ggt ggt ttc c er Ile Gly Gly Phe I 85 1	
		ct gca gtc gct ggg t ro Ala Val Ala Gly P 205	
gct cag tgt aaa Ala Gln Cys Lys 210		a aagtaggggt tgggatg	aga tgatgatgat 739
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Pro Thr Ser Gly Ile Gln Gln Ser Asp Met Lys Leu Lys Leu Pro Glu 25 Met Thr Asn Asn Asn Ser Ser Thr Asp Asp Asn Glu Cys Thr Val Arg 20 40 Glu Gln Asp Arg Phe Met Pro Ile Ala Asn Val Ile Arg Ile Met Arg 60 Lys Ile Leu Pro Pro His Ala Lys Ile Ser Asp Asp Ala Lys Glu Thr 75 70 Ile Gln Glu Cys Val Ser Glu Tyr Ile Ser Phe Val Thr Gly Glu Ala 85 Asn Asp Arg Cys Gln Arg Glu Gln Arg Lys Thr Ile Thr Ala Glu Asp 105 100 Val Leu Trp Ala Met Ser Lys Leu Gly Phe Asp Asp Tyr Ile Glu Pro 125 120 Leu Thr Val Tyr Leu His Arg Tyr Arg Glu Phe Asp Gly Glu Arg 115 140 135 Gly Ser Ile Arg Gly Glu Pro Leu Val Lys Arg Ser Thr Ser Asp Pro 155 150 Gly His Phe Gly Met Ala Ser Phe Val Pro Ala Phe His Met Gly His 170 165 His Asn Gly Phe Phe Gly Pro Ala Ser Ile Gly Gly Phe Leu Lys Asp 185 Pro Ser Ser Ala Gly Pro Ser Gly Pro Ala Val Ala Gly Phe Glu Pro 180 205 200 Tyr Ala Gln Cys Lys Glu 210 <210> 13 <211> 763 <212> DNA <213> Zea mays <220> <221> CDS <222> (1)...(480) gca cga ggc aag acc gtc acc tcc gag gac atc gtg tgg gcc atg agc <400> 13 48 Ala Arg Gly Lys Thr Val Thr Ser Glu Asp Ile Val Trp Ala Met Ser 10 5 96 cgc ctc ggc ttc gac gac tac gtc gcg ccc ctc ggc gcc ttc ctc cag Arg Leu Gly Phe Asp Asp Tyr Val Ala Pro Leu Gly Ala Phe Leu Gln 25 20 cgc atg cgc gac gac agc gac cac ggc ggt gaa gag cgc ggc ggc cct 144 Arg Met Arg Asp Asp Ser Asp His Gly Gly Glu Glu Arg Gly Gly Pro 35 gca ggg cgt ggt ggc tcg cgc cgc ggc tcg tcc ttg ccg ctc cac 192 Ala Gly Arg Gly Gly Ser Arg Arg Gly Ser Ser Ser Leu Pro Leu His 55 50 tgc ccg cag cag atg cac cac ctg cac cca gcc gtc tgc cgg cgt ccg 240 Cys Pro Gln Gln Met His His Leu His Pro Ala Val Cys Arg Arg Pro 70 65 288 cac cag age gtg teg eet get gea gga tae gee gte egg eee gtt eee His Gln Ser Val Ser Pro Ala Ala Gly Tyr Ala Val Arg Pro Val Pro

90

336 cgc ccg atg cca gcc cgt ggg tac cgc atg cag ggc gga gac cac cgc Arg Pro Met Pro Ala Arg Gly Tyr Arg Met Gln Gly Gly Asp His Arg 100 105 age gtg gge gge gtg get eee tge age tae gga ggg geg ete gte eag 384 Ser Val Gly Gly Val Ala Pro Cys Ser Tyr Gly Gly Ala Leu Val Gln 115 120 432 gcc ggt gga acc caa cac gtt gtt gga ttc cac gac gac gag gca agc Ala Gly Gly Thr Gln His Val Val Gly Phe His Asp Asp Glu Ala Ser 135 140 480 tet teg agt gaa aat eeg eeg eeg gag ggg egt gee get gge teg aac Ser Ser Ser Glu Asn Pro Pro Pro Glu Gly Arg Ala Ala Gly Ser Asn 150 155 540 tagectaget teteagttee cegtgtacaa taagagggge ggtegeggeg cegegeege 600 agctggtgca cgcgcccac ctcgccggac gtcgccgtcg tcgtcggcat ggacttaacc 660 ggcgggccct gttgttattt ctcaagtttg tagccaacgc actgttcggt gcgttccata 720 763

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15

55 Cys Pro Gln Gln Met His His Leu His Pro Ala Val Cys Arg Arg Pro

70 75 65 His Gln Ser Val Ser Pro Ala Ala Gly Tyr Ala Val Arg Pro Val Pro

90 85 Arg Pro Met Pro Ala Arg Gly Tyr Arg Met Gln Gly Gly Asp His Arg 105

Ser Val Gly Gly Val Ala Pro Cys Ser Tyr Gly Gly Ala Leu Val Gln 115 120

Ala Gly Gly Thr Gln His Val Val Gly Phe His Asp Asp Glu Ala Ser 135 140

Ser Ser Ser Glu Asn Pro Pro Pro Glu Gly Arg Ala Ala Gly Ser Asn 145 155

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<211> 622

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<213> Zea mays

<220>

<221> CDS

<222> (3)...(622)

<221> misc feature

<222> (1)...(622)

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cca ccg gag ctt ccc aaa gaa gca gtg gcg acc gac gaa gca ccg ccg Pro Pro Glu Leu Pro Lys Glu Ala Val Ala Thr Asp Glu Ala Pro Pro 20 25 30	95
cca atg ggc aac aac aac acg gaa tcg gcg acg gcg acg atg gtc Pro Met Gly Asn Asn Asn Thr Glu Ser Ala Thr Ala Thr Met Val 35 40 45	143
cgg gag cag gac cgg ctg atg ccc gtg gcc aac gtg tcc cgc atc atg Arg Glu Gln Asp Arg Leu Met Pro Val Ala Asn Val Ser Arg Ile Met 50 55	191
cgc caa gtg ctg cct ccg tac gcc aag atc tcc gac gac gcc can gaa Arg Gln Val Leu Pro Pro Tyr Ala Lys Ile Ser Asp Asp Ala Xaa Glu 65 70 75	239
gtn atc caa gaa ttg ctn ttc gga att tca tca ctt ncg tcc tgg cga Xaa Ile Gln Glu Leu Xaa Phe Gly Ile Ser Ser Leu Xaa Ser Trp Arg 80 85 90	287
ggc gaa acg aag cgg tgc cac acc gag cgc cgc aag acc gtc acc tcc Gly Glu Thr Lys Arg Cys His Thr Glu Arg Arg Lys Thr Val Thr Ser 100 105	335
gaa gac atc gtg tgg gcc atg agc cgc ctc ggc ttc gac gac tac gtc Glu Asp Ile Val Trp Ala Met Ser Arg Leu Gly Phe Asp Asp Tyr Val 115 120 125	383
gcg ccc ctc ggc gcc ttc ctc cag cgc atg cgc gac nac agc gaa cac Ala Pro Leu Gly Ala Phe Leu Gln Arg Met Arg Asp Xaa Ser Glu His 130 135	431
ggg ggt gaa aac gcg gcg gcc tgc ang ggg tng tgg tcn cgc cgc ggg Gly Gly Glu Asn Ala Ala Ala Cys Xaa Gly Xaa Trp Xaa Arg Arg Gly 145	479
tog tot not tgg ogo too ott goo goa ana gat gao aac ttg oac caa Ser Ser Xaa Trp Arg Ser Leu Ala Ala Xaa Asp Asp Asn Leu His Gln 175	527
acg tct gcc ggg ntc gga cca aaa ctn ttc cct gtt gca gga ata ccc Thr Ser Ala Gly Xaa Gly Pro Lys Xaa Phe Pro Val Ala Gly Ile Pro 180 185 190	575
gtc cng ggc cnt tcc ccc ccn aat cca acc att tgg ttt ccc ctt gc Val Xaa Gly Xaa Ser Pro Xaa Asn Pro Thr Ile Trp Phe Pro Leu 205	622

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<220> <221> CDS <222> (3)...(1121)

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Thr Thr Ser Gly Leu Lys Leu Ser Val Ser Asp Met Asn Met Asn Met
20 25 30

agg cag cag cag gta gca tca tca gat cag aac tgc agc aac cac agt
Arg Gln Gln Gln Val Ala Ser Ser Asp Gln Asn Cys Ser Asn His Ser
35 40 45

gca gca gga gag gag aac gaa tgc acg gtg agg gag caa gac agg ttc
Ala Ala Gly Glu Glu Asn Glu Cys Thr Val Arg Glu Gln Asp Arg Phe
50 55 60

atg cca atc gct aac gtg ata cgg atc atg cgc aag att ctc cct cca 239

47

gc acg agg gaa act gga ggc ttt cat ggc tac cgc aag ctc ccc aac

Met Pro Ile Ala Asn Val Ile Arg Ile Met Arg Lys Ile Leu Pro Pro

cac gca His Ala 80	aaa Lys	atc Ile	tcc Ser	gat Asp 85	gat Asp	gca Ala	aag Lys	gag Glu	aca Thr 90	atc Ile	caa Gln	gag Glu	tgc Cys	gtg Val 95		287
tcg gag Ser Glu	tac Tyr	atc Ile	agc Ser 100	ttc Phe	atc Ile	acc Thr	G] À āāā	gag Glu 105	gcc Ala	aac Asn	gag Glu	cgt Arg	tgc Cys 110	cag Gln		335
agg gag Arg Glu	cag Gln	cgc Arg 115	aag Lys	acc Thr	ata Ile	acc Thr	gca Ala 120	gag Glu	gac Asp	gtg Val	ctt Leu	tgg Trp 125	gca Ala	atg Met		383
agt aag Ser Lys	Leu 130	Gly	Phe	Asp	Asp	135	110	024		_	140					431
cac cgc His Arg 145	Tyr	Arg	Glu	ьeu	150	GIY	Aup	2129		155			•			479
ccg cto Pro Leo 160	r GJ/ = aaa	aag Lys	agg Arg	act Thr 165	vai	gaa Glu	tat Tyr	gcc	acg Thr 170	_	gct Ala	act Thr	gct	Phe 175	:	527
gtg cc Val Pr	g cca o Pro	a ccc	ttt Phe	His	cac His	cac	aat Asn	ggc Gly 185	-1-	ttt Phe	ggt Gly	gct Ala	gco Ala 190	ato Met	; :	575
ccc at Pro Me	g gg t Gl	g act y Thi 195	r Tyr	gtt Val	agg Arg	gaa Glu	acg Thi		a cca o Pro	a aat o Asi	get Ala	gcg Ala 205	tca Sei	a tci c Sei	c r	623
cat ca His Hi	c ca s Hi 21	s Hi	t gga s Gly	a ato	tco Sei	aat Ası 21	i vr.	ca <sup>4</sup>	t gaa	a cca u Pr	a aat o Ast 22	t gct n Ala 0	t cg	c tc g Se	c r	671
110	As 22	n *	*	Ar	g va.	Гре	u Fii	23	0	<b>y</b>	,		23	5		719
gga ct Gly Le	eu As	sp *	Le <sup>2</sup>	u As 0	n se	I GI	11		24	5				25	0	767
tga go * G	ly T	rp L∈	eu II	е <u>Бе</u> 25	5	ЪС		· y		26	50					815
ata t Ile T 265	yr S	er '	' S∈	er ·	, ne	27	70				2	75				863
	he P 280	he V	al Le	eu Tr	1r 50 20	35 35	sp n	J., 2	<b></b>	2	90					911
gtg g Val V 295	gtt t /al I	tg t eu S	ct at er I	Te P	ct to ro T	at t	aa c * G	ag t ln C	gc c ys G		ct t la 05	aa g * G	gt t ly P	tt a he S	gc er	959

cat gct cca aaa tgg aat act tgt act gtt atg ttg ttc tgg tag tga 1007 His Ala Pro Lys Trp Asn Thr Cys Thr Val Met Leu Phe Trp \* 315 tgg tga tga aac ctg caa gtt atg ttt atg tat aaa gcc act att gat 1055 Trp \* \* Asn Leu Gln Val Met Phe Met Tyr Lys Ala Thr Ile Asp caa aat tag aga aat tat cat tta ata agt atc ctc cca tgt taa ttt 1103 Gln Asn \* Arg Asn Tyr His Leu Ile Ser Ile Leu Pro Cys \* Phe 340 345 1121 taa aaa aaa aaa aaa Lys Lys Lys Lys 355

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# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: C12N 15/82, C07K 14/415, C12N 15/11, A01H 5/00

(11) International Publication Number:

WO 00/28058

**A3** 

(43) International Publication Date:

18 May 2000 (18.05.00)

(21) International Application Number:

PCT/US99/26514

(22) International Filing Date:

9 November 1999 (09.11.99)

(30) Priority Data:

60/107,643 60/107,810

9 November 1998 (09.11.98) US 10 November 1998 (10.11.98)

(71) Applicants: PIONEER HI-BRED INTERNATIONAL, INC. [US/US]; 800 Capital Square, 400 Locust Street, Des Moines, IA 50309 (US). E.I. DU PONT DE NEMOURS & CO. [US/US]; 1007 Market Street, Suite 9000 DuPont Building, Wilmington, DE 19898 (US).

- (72) Inventors: LOWE, Keith, S.; 6407 Harbor Oaks Drive, Johnston, IA 50131 (US). GORDON-KAMM, William, J.; 3916 67th Street, Urbandale, IA 50322 (US). KLEIN, Theodore, M.; 2229 Rosewood Drive, Wilmington, DE 19810 (US). RASCO-GAUNT, Sonriza; 29 Canonsfield Road, Oaklands, Welwyn, Hertfordshire AL6 0PY (GB). CAHOON, Rebecca, E.; 2331 West 18th Street, Wilmington, DE 19806 (US). SUN, Xifan; 4609 66th Street, Urbandale, IA 50322 (US). HOERSTER, George, J.; 2623 Payne Road, Des Moines, IA 50310 (US). GREGORY, Carolyn, Ann; 1411 N.W. 137th Court, Clive, IA 50325 (US). NADIMPALLI, Ramgopal; Building 8, Unit 124, 364 Hoover Avenue, Bloomfield, NJ 07003 (US).
- (74) Agents: MICHEL, Marianne, H. et al.; 7100 N.W. 62nd Avenue, Darwin Building, Johnston, IA 50131-1000 (US).
- (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(88) Date of publication of the international search report:

12 October 2000 (12.10.00)

(54) Title: TRANSCRIPTIONAL ACTIVATOR LEC1 NUCLEIC ACIDS, POLYPEPTIDES AND THEIR USES

ARABIDOPSIS SOYBEAN SOYBEAN SOYBEAN MAIZE MEXICANA VERNONIA

VHEAT MAIZE MATZE

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(57) Abstract

The invention provides isolated nucleic acids and their encoded proteins which act as transcriptional activators and methods of use thereof. The invention further provides expression cassettes, transformed host cells, transgenic plants and plant parts, and antibody

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Int tional Application No PCT/US 99/26514

A. CLAS	SIFICATION OF SUBJECT MATTER C12N15/82 C07K14/415 C	PCT/US 99/26514	
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B. FIELD	to International Patent Classification (IPC) or to both nation		
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	to the	extent that such documents are included in the fields searched	
Electronic o	data base consulted during the international search (name		
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C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
Category •	Citation of document, with indication, where appropriat	e, of the relevant passages Relevant to da	im A
Х			
^	SASAKI T.: "AC C19737; ric EBI DATABASE,		
	25 October 1996 (1996-10-2	5), XP002136426	
	the whole document		
X	SASAKI T.: "AC C28028; ric	e EST" 1,4,5,7	
1	EBI DATABASE, 6 August 1997 (1997-08-06)	1,7,5,7	
	the whole document	, XP002136427	
		-/-	
Y Further	r documents are listed in the continuation of box C.		
	gories of cited documents:	Patent family members are listed in annex.	
	defining the general state of the art which is not	To later document published after the international filing date	
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document	Which may throw doubte as a single and a single	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to	
citation of	r other special reason (as specified)	"Y" document of particular relevance: the claimed invention	
document other mea	referring to an oral disclosure, use, exhibition or ans	document is combined with one or more other such death	
	published prior to the international filing date but the priority date claimed	ments, such combination being obvious to a person skilled in the art.  *&* document member of the same patent family	
te of the act	ual completion of the international search	Date of mailing of the international search report	
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		i nema, i	

Intic Ional Application No PCT/US 99/26514

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
Category °	Oracion of document, minimum and oracle programmes appropriate to the control of		
X	LOTAN TAMAR ET AL: "Arabidopsis LEAFY COTYLEDON1 is sufficient to induce embryo development in vegetative cells." CELL JUNE 26, 1998, vol. 93, no. 7, 26 June 1998 (1998-06-26), pages 1195-1205, XP002136428 ISSN: 0092-8674 cited in the application see the whole document, esp. pp.1200/01 the whole document & "AC AF036684; AC 081130" EBI DATABASE, 2 July 1998 (1998-07-02), the whole document	1,3-13, 15-21, 29-34	
X	WO 98 37184 A (UNIV CALIFORNIA) 27 August 1998 (1998-08-27) cited in the application see the whole document; esp. example 2	1,3-13, 15-21, 29-34	
A	WEST MARILYN A L WEST ET AL: "LEAFY COTYLEDON1 is an essential regulator of late embryogenesis and cotyledon identity in Arabidopsis." PLANT CELL 1994, vol. 6, no. 12, 1994, pages 1731-1745, XP002136429 ISSN: 1040-4651 the whole document	1-62	
A	PARCY FRANCOIS ET AL: "The ABSCISIC ACID-INSENSITIVE3, FUSCA3, and LEAFY COTYLEDON1 loci act in concert to control multiple aspects of arabidopsis seed development." PLANT CELL 1997, vol. 9, no. 8, 1997, pages 1265-1277, XP002136430 ISSN: 1040-4651 the whole document	1-62	
A	EDWARDS DAVID ET AL: "Multiple genes encoding the conserved CCAAT-box transcription factor complex are expressed in arabidopsis." PLANT PHYSIOLOGY (ROCKVILLE) JULY, 1998, vol. 117, no. 3, July 1998 (1998-07), pages 1015-1022, XP002136431 ISSN: 0032-0889 the whole document	1-62	

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.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		PCT/US 99/26514	
Category °			
	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
A	OHAD NIR ET AL: "A mutation that allows endosperm development without fertilization." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA 1996, vol. 93, no. 11, 1996, pages 5319-5324, XP002136432 ISSN: 0027-8424 see esp. p.5323 last par.	45	
), X	SHOEMAKER R. ET AL.: "Soybean EST AC AI495007" EBI DATABASE, 17 March 1999 (1999-03-17), XP002142350 the whole document	1,4,5,7	
	WO 99 67405 A (OHTO MASA AKI ;GOLDBERG ROBERT B (US); HARADA JOHN J (US); LOTAN T) 29 December 1999 (1999-12-29) the whole document	1,3-13, 15-21, 29-34	

...emational application No. PCT/US 99/26514

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This International Searching Authority found multiple inventions in this international application, as follows:	
see additional sheet	
As all required additional search fees were timely paid by the applicant, this International Search Report covers searchable claims.	ers all
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not in of any additional fee.	vite payment
3. As only some of the required additional search fees were timely paid by the applicant, this International Sea covers only those claims for which fees were paid, specifically claims Nos.:	uch Report
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	ch Reportis
Remark on Protest  The additional search fees were accompanied by the ad	

International Application No. PCT/US 99 &6514

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-62 all partially

An isolated nucleic acid comprising a member of the group consisting of those as embodied in claim 1 of the application, especially having the sequence set forth in SEQ ID NO:1,13,15, being a polynucleotide having a plant HAP3-type ccaat-box transcriptional activator derived from maize, fragments, functional homologs, hybridizing and complementary sequences thereof. Vectors, expression cassettes, host cells, and transgenic plants comprising said nucleic acid sequence. A protein encoded thereby, especially as set forth in SEQ ID NO:2,14,16 and bearing the conserved motifs of SEQ ID NO:23, the protein being a LEC1 transcriptional activator. Methods of using a nucleic acid sequence or a protein as defined above to modulate LEC1 acitivity in a plant, to enhance tissue culture response in a plant cell, or to induce somatic embryogenesis in a plant cell other than an Arabidopsis cell. Methods for positive selection of a transformed cell, for inducing apomixis, for increasing transformation efficiency, and for increasing recovery of regenerated plants comprising the use of an LECI polynucleotide as defined above.

2. Claims: 1-62 all partially

idem for SEQ ID NO:7,8, the sequences being derived from Argemone  $\,$ 

3. Claims: 1-62 all partially

idem for SEQ ID N0:9,10,17-20, the sequences being derived from soybean  $\,$ 

4. Claims: 1-62 all partially

5. Claims: 1-62 all partially

idem for SEQ ID N0:21,22, the sequences being derived from wheat  $\ensuremath{\mathsf{N}}$ 

Information on patent family members

In ational Application No
PCT/US 99/26514

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9837184 A	27-08-1998	AU 6328398 A EP 0977836 A	09-09-1998 09-02-2000
WO 9967405 A	29-12-1999	AU 4831399 A	10-01-2000